

THE 3-CUSPIDAL QUARTIC AND BRAID MONODROMY OF DEGREE 4 COVERINGS.

FABRIZIO CATANESE - BRONISLAW WAJNRYB

ABSTRACT. Motivated by the study of the differential and symplectic topology of $(\mathbb{Z}/2)^2$ -Galois covers of $\mathbb{P}^1 \times \mathbb{P}^1$, we determine the local braid monodromy of natural deformations of smooth $(\mathbb{Z}/2)^2$ -Galois covers of surfaces at the points where the branch curve has a nodal singularity.

The study of the local deformed branch curves is solved via some interesting geometry of projectively unique objects: plane quartics with 3 cusps, which are the plane sections of the quartic surface having the twisted cubic as a cuspidal curve.

1. INTRODUCTION

This article is a continuation of a preceding one ([C-W]), which was devoted to the proof that the so called (a, b, c) -surfaces (where we take $a, b, c \in \mathbb{N}$ with b and $a + c$ fixed) provide examples of simply connected algebraic surfaces which are diffeomorphic but not deformation equivalent.

The (a, b, c) -surfaces are coverings of $\mathbb{P}^1 \times \mathbb{P}^1$ of degree 4 and are defined by 2 equations

$$(1) \quad \begin{aligned} z^2 &= f(x, y) \\ w^2 &= g(x, y), \end{aligned}$$

where f and g are bihomogeneous polynomials, belonging to respective vector spaces of sections of line bundles: $f \in H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(2a, 2b))$ and $g \in H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(2c, 2b))$.

A question which was left open in [C-W] was the symplectic equivalence of the above (a, b, c) -surfaces. To this purpose, and for more general purposes, it is important to determine the braid monodromy factorization of the branch curve corresponding to a symplectic deformation of the 4-1 covering $S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ possessed by an (a, b, c) -surface S (note that in [C-W] one key result was the determination of the mapping class group monodromy factorization, which is a homomorphic image of the braid monodromy factorization).

¹The research of the author was performed in the realm of the SCHWERPUNKT "Globale Methode in der komplexen Geometrie", and of the EAGER EEC Project.

In this paper we approach the first step, namely, we determine the local braid monodromy factorization of 4-1 coverings which are deformations of bidouble covers $((\mathbb{Z}/2)^2$ - Galois covers).

The discriminant picture that we get is somehow unexpected, in that instead of the usual swallowtail surface we obtain a rational quartic surface with a twisted cubic as cuspidal curve. We show in the last section, namely in Theorem 6.1, that such surface is projectively unique, being the tangential developable of the twisted cubic, or equivalently, the dual surface of the twisted cubic curve in \mathbb{P}^3 , or the discriminant of the general equation of degree 3.

As hinted at in the first section, the picture is not completely unexpected, especially the fact that the quartic is a discriminant surface for the general equation of degree 3, since actually Galois theory teaches us that deformed Galois covers of degree 4 are exactly the trick to relate the solvability of the general equation of degree 4 to the solvability of the general equation of degree 3. It follows that our perturbed local discriminant curve of $S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ is a plane quartic curve Δ with three cusps, and bitangent to the line at ∞ in two real points.

Viewing the curve as a small perturbation of a pair of real lines counted with multiplicity two made the determination of the braid monodromy of this affine curve almost impossible.

The trick which solved the problem is the following well known observation: a three cuspidal quartic over an algebraically closed field is projectively unique, since it is the dual curve of a nodal plane cubic curve.

We can then change the real picture and take a nodal cubic with an isolated double point, but with three real flexes: its dual curve, once we take as line at infinity the dual line of the nodal point, will be a quartic C with three real cusps, and bitangent at the line at infinity in two imaginary points.

For C the points with a real abscissa x which are interesting for the determination of the braid monodromy have now an ordinate y which is either real or imaginary, and it is quite easy to calculate then the braid monodromy factorization. From this one, since Δ is complex affine equivalent to C , we deduce the braid monodromy factorization for Δ .

Lack of time prevents us to analyse the question whether one can similarly determine the local braid monodromy factorization for a deformed abelian cover. This would also be a very useful result in the study of the differential and symplectic topology of huge classes of algebraic surfaces.

2. THE DISCRIMINANT OF A DEFORMED BIDOUBLE COVER.

Consider a ring A of characteristic $p \neq 2$ and a so called simple bidouble cover of A , i.e., a $(\mathbb{Z}/2)^2$ - Galois ring extension $A \subset B'$ where B' is the quotient ring of $A[z, w]$ given, for some choice of $u, v \in A$, by

$$(2) \quad \begin{aligned} z^2 &= v \\ w^2 &= u. \end{aligned}$$

A **deformed bidouble cover** is a finite ring extension $A \subset B$ given, for $u, v, a, b \in A$, by

$$(3) \quad \begin{aligned} z^2 &= v + aw \\ w^2 &= u + bz. \end{aligned}$$

Observe that, if a is invertible, then $w = a^{-1}(z^2 - v)$, and we get the quartic equation $a^{-2}(z^2 - v)^2 - bz - u = 0$, equivalent to $z^4 - 2z^2v - a^2bz + (v^2 - a^2u) = 0$ and that, since $p \neq 2$, every quartic equation can be reduced, by a translation (Tschirnhausen transformation), to the above equation, for a suitable choice of v, b, u .

This standard trick, which allows to deform a bidouble Galois extension to the general quartic equation is very important in Galois theory. Because, in the z, w plane we have the pencil of conics generated by the above two parabolas, and the determinant function on the parameter of the pencil provides an equation of degree three, whose Galois group corresponds to the image of the Galois group of the quartic equation under the surjection $\mathcal{S}_4 \rightarrow \mathcal{S}_3$ with kernel $(\mathbb{Z}/2)^2$.

We are however interested in a finer geometric question, we do not only look at algebraic extensions of function fields, indeed we look more closely at finite coverings of smooth algebraic varieties.

The concepts of bidouble cover, and deformed bidouble cover have been introduced, in the global case of coverings of smooth algebraic varieties, in [Cat1], the latter under the name of **natural deformations** of bidouble covers. We refer the reader for details to [Cat1], and as well to [C-W] for the applications we have in mind.

We observe that B is a rank 4 free A -module, with basis $1, z, w, zw$, and that to each nontrivial basis element corresponds the respective multiplication matrix

$$M_z = \begin{pmatrix} 0 & v & 0 & au \\ 1 & 0 & 0 & ab \\ 0 & a & 0 & v \\ 0 & 0 & 1 & 0 \end{pmatrix}, M_w = \begin{pmatrix} 0 & 0 & u & bv \\ 0 & 0 & b & u \\ 1 & 0 & 0 & ab \\ 0 & 1 & 0 & 0 \end{pmatrix}, M_{zw} = \begin{pmatrix} 0 & au & bv & uv \\ 0 & ab & u & bv \\ 0 & v & ab & au \\ 1 & 0 & 0 & ab \end{pmatrix}.$$

Consider now the different R (ramification Cartier divisor) of the ring extension,

$$R = \det \begin{pmatrix} 2z & -a \\ -b & 2w \end{pmatrix} = 4zw - ab.$$

We can then find the discriminant Δ as the norm of R , thus

$$\Delta = 4^4 \det(M_{zw} - \frac{ab}{4} Id) = 4^4 \det \begin{pmatrix} -ab/4 & au & bv & uv \\ 0 & 3ab/4 & u & bv \\ 0 & v & 3ab/4 & au \\ 1 & 0 & 0 & 3ab/4 \end{pmatrix},$$

and

$$-\frac{1}{16^2}\Delta = -u^2v^2 - \frac{9}{8}uv(ab)^2 + b^2v^3 + a^2u^3 + \frac{27}{16^2}a^4b^4 := P(u, v, a^2, b^2).$$

We see immediately that, setting $\alpha := a^2, \beta := b^2$, $P(u, v, \alpha, \beta)$ is homogeneous of degree 4, and symmetric for the involution $(u, \alpha) \leftrightarrow (v, \beta)$, whose fixed point locus is not contained in $\{P = 0\}$ (this symmetry is forced by the symmetry exchanging a with b , w with z , u with v).

Theorem 2.1. *The quartic hypersurface $P \subset \mathbb{P}^3$ defined by $P(u, v, \alpha, \beta) = 0$ is irreducible and has as singular locus a twisted cubic curve Γ , which is a cuspidal curve for P . In particular P is projectively unique, being the dual surface of the twisted cubic. P is also the tangential developable of the twisted cubic, and the discriminant surface of the space \mathbb{P}^3 of polynomials of degree 3 on \mathbb{P}^1 .*

Remark 2.2. *Chapter V of [SupRaz] is devoted to more general surfaces of degree 4 in \mathbb{P}^3 which have a twisted cubic as double curve.*

Proof.

We calculate for later use

$$\partial P/\partial u = -2uv^2 - \frac{9}{8}(ab)^2v + 3a^2u^2, \quad \partial P/\partial v = -2vu^2 - \frac{9}{8}(ab)^2u + 3b^2v^2$$

and moreover

$$\partial P/\partial \alpha = -\frac{9}{8}uv(b)^2 + u^3 + \frac{54}{16^2}a^2b^4, \quad \partial P/\partial \beta = -\frac{9}{8}uv(a)^2u + v^3 + \frac{54}{16^2}a^4b^2,$$

whence in particular

$$u(\partial P/\partial u) - v(\partial P/\partial v) = 3a^2u^3 - 3b^2v^3, \quad \alpha(\partial P/\partial \alpha) - \beta(\partial P/\partial \beta) = a^2u^3 - b^2v^3.$$

We conclude that the hypersurface P , i.e., $\{P = 0\}$, is irreducible, being reduced and being the image of the quadric $Q := \{4zw - ab = 0\}$. We claim now that P is singular along a twisted cubic Γ , which is of cuspidal type.

In view of the irreducibility of P , we will then conclude that there is no other singular curve on P .

In order to do this, let us work with affine coordinates on Q setting $a = 1$, whence $b = 4zw$ and z, w are affine coordinates.

In terms of these coordinates, $\alpha = 1, \beta = 16z^2w^2, u = w^2 - 4z^2w, v = z^2 - w$.

We conclude that $F : Q \rightarrow P$ factors through $(z, w) \rightarrow (s := z^2, w)$ and $G(s, w) := (16sw^2, w^2 - 4sw, s - w)$.

We calculate the derivative matrix of G ,

$$DG = \begin{pmatrix} 16w^2 & 32sw \\ -4w & 2w - 4s \\ 1 & -1 \end{pmatrix}$$

which has rank equal to 1 exactly for $w + 2s = 0$. Observe moreover that in these points the kernel of DG is given by the tangent vector $\partial/\partial s + \partial/\partial w$.

An immediate calculation shows that the image curve Γ is the twisted cubic $(64s^3, 12s^2, 3s)$ and one may verify that on Γ all the four partial derivatives of P do vanish.

It follows that Γ is the only singular curve of P (an irreducible quartic curve has at most three singular points), and that it is a cuspidal curve, since if we intersect P with a general plane, then we get a curve in the (s, w) plane which, at an intersection point with $w + 2s = 0$, is tangent to the kernel of DG , but maps with local degree one (since, for instance, the line $w = s + c$ maps to the plane $v = -c$ by $s \rightarrow (16(s + c)^2s, (s + c)(c - 3s), -c)$).

We conclude also easily that $Sing(P) = \Gamma$. Since, if p were another singular point of P , any plane through p would intersect P in a reducible curve; but projection with centre p yields a double cover of the plane \mathbb{P}^2 , and a general line cannot be tangent to the branch locus, thus we get a contradiction.

Let now $X \subset \mathbb{P}^3$ be a quartic surface which has a twisted cubic curve Γ as cuspidal curve: then it follows by the theorem 6.1 proved in the last section that X is unique, whence it coincides with the tangential developable of Γ .

An alternative argument is as follows: if two general polar surfaces

$$\sum_i y_i \partial X / \partial x_i = 0, \quad \sum_i z_i \partial X / \partial x_i = 0$$

are shown to intersect along Γ with multiplicity three, then the dual variety of X is a curve D .

Unfortunately, as pointed out by the referee, this statement is not obvious for a general X as above, but indeed for our explicit surface P a direct calculation with Macaulay shows that the dual variety of P is a curve D (and also that D has degree 3, but we do not need this fact).

Once we know that the dual variety of X is a curve D , by biduality, X is a developable surface which is not a cone, so X is a tangential developable, and its singular curve Γ must be the edge of regression. We conclude thus that X is the tangential developable of Γ , and that the dual variety D of X is the curve of osculating planes of Γ . We conclude also that D is then a twisted cubic curve, so X is the dual surface of a twisted cubic curve. X is also projectively unique since D is projectively unique.

Q.E.D.

3. THE 2-DIMENSIONAL PICTURE

In this section we shall assume that u, v are local coordinates in the plane (or local parameters for a two-dimensional local ring A), and that a, b are local holomorphic functions at the origin, which are invertible and take small values in a neighbourhood of the origin (respectively, a, b are units of A).

Then we write $b = ca$, and consider new coordinates U, V such that $u = a^2U$, $v = a^2V$: in these new coordinates our discriminant Δ is divisible by a^8 , and after dividing by -4^4a^8 we obtain the function

$$\delta_c := -U^2V^2 - \frac{9}{8}UV(c)^2 + c^2V^3 + U^3 + \frac{27}{16^2}c^4.$$

In other words, we could have assumed without loss of generality that $a = 1$.

We can further simplify the above equation by taking a cubic root λ of c , and considering new coordinates u', v' with $U = \lambda^4 u_0, V = \lambda^2 v_0$: then our equation, after dividing by λ^{12} becomes

$$\delta := -u_0^2 v_0^2 - \frac{9}{8} u_0 v_0 + v_0^3 + u_0^3 + \frac{27}{16^2}.$$

Let us determine exactly the singular points of this curve, where for simplicity of notation we replace u_0, v_0 by u, v respectively. In other words, we could have assumed from the onset $a = b = 1$, and we consider

$$\delta(u, v) := P(u, v, 1, 1) = -u^2 v^2 - \frac{9}{8} uv + v^3 + u^3 + \frac{27}{16^2},$$

and our previous calculation of $u(\partial P/\partial u) - v(\partial P/\partial v)$ shows that the singular points satisfy $u^3 = v^3$; since however the origin does not lie on our curve, we may set, for such a singular point, $u = \zeta v$, where ζ is a cubic root of 1, and $v \neq 0$.

We look now at $(\partial P/\partial u) = -2\zeta v^3 - \frac{9}{8}v + 3\zeta^2 v^2 = 0$, but disregarding the root $v = 0$; whence, we get the equation $v^2 + \frac{9}{16}\zeta^2 - \frac{3}{2}\zeta v = (v - \frac{3}{4}\zeta)^2 = 0$.

Thus we conclude that the three cuspidal points are the three points

$$u = \frac{3}{4}\zeta^2, v = \frac{3}{4}\zeta, (\text{where } \zeta^3 = 1).$$

Our goal is to understand the local braid monodromy of the curve $\delta = 0$, for a good projection given by a linear form x .

In order to understand the forthcoming calculations, observe that our curve Δ is the image of the ramification curve $4zw = 1$, thus we have a degree 4 rational function x on \mathbb{P}^1 , which must be branched on 6 points.

Three of these will correspond to the images of the 3 cusps, 2 will come from the two points at infinity where the line $z = 0$ is tangent, whence there will be exactly another branch point corresponding to a line $x = x_0$ tangent at a smooth point.

Therefore the factors of the braid monodromy factorization will be four, one half twist, and three cubes of a half twist. In the next section we are going to calculate it for a suitable choice of coordinates.

4. MAKING THE THREE CUSPS REAL.

As we mentioned in the introduction, a three cuspidal quartic has as dual curve a rational irreducible cubic (by Plücker's formulae its degree is $4 \times 3 - 3 \times 3 = 3$) which is by biduality nodal, since the dual of a cuspidal cubic is a cuspidal cubic.

Indeed the node is dual to the bitangent line at infinity. Our curve Δ will have two real tangents, and as a consequence three flexes which are not all real, since only one of the three cusps is real.

We easily construct however a quartic with three real cusps if we take the dual curve of the affine curve

$$D := \{(X, Y) | F(X, Y) = Y^2 - X^2(X - 1) = 0\}$$

Since in homogeneous coordinates (X, Y, Z) we have

$F(X, Y, Z) = Y^2Z - X^2(X - Z)$, hence the gradient of F is given by

$$\nabla F = (-3X^2 + 2XZ, 2YZ, X^2 + Y^2),$$

in view of the standard parametrization of D given by

$$X = (t^2 + 1), Y = t(t^2 + 1), Z = 1$$

(for which $t = \infty$ goes to the point at infinity of D), we get a parametrization of the dual curve C as

$$(-3(1 + t^2) + 2, 2t, (1 + t^2)^2).$$

The two flexes not at infinity occur for $X = 4/3$, and $t = \pm(3)^{-1/2}$, and correspondingly we have the two flexes $(-2, \pm 2(3)^{-1/2}, (4/3)^2) = (-\frac{9}{8}, \pm\frac{3}{8}\sqrt{3}, 1)$ (the third flex occurs at the origin).

Using the above parametrization or using the computer we may calculate the equation of C as

$$C := \{(x, y) | (x^2 + y^2)^2 + x^3 + 9xy^2 + \frac{27}{4}y^2 = 0\}.$$

The advantage of this equation is that it is biquadratic in y , so y^2 is solution of the quadratic equation

$$(y^2)^2 + (2x^2 + 9x + \frac{27}{4})y^2 + (x^3 + x^4) = 0$$

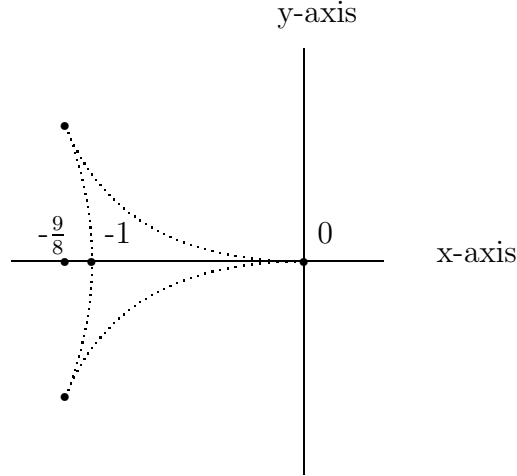
thus

$$2y^2 = -(2x^2 + 9x + \frac{27}{4}) \pm \sqrt{32x^3 + 108x^2 + \frac{27 \times 9}{2}x + \frac{27^2}{16}},$$

and, if we set $A := (2x^2 + 9x + \frac{27}{4})$, the discriminant $\Theta = A^2 - 4(x^3 + x^4)$ appearing in the above square root is clearly positive for $x > 0$, and clearly vanishes for $x = -\frac{9}{8}$. On the other side, twice the derivative of Θ equals $192x^2 + 432x + 243 = 3(8x + 9)^2 \geq 0$, thus Θ is strictly monotone, whence Θ is positive exactly for $x > -\frac{9}{8}$.

To complete the picture, observe that $\Theta > A^2$ iff $x^4 + x^3 < 0$, i.e., iff $-1 < x < 0$, and that $A(x) = 2((x + \frac{9}{4})^2 - \frac{27}{16})$ is positive exactly outside the interval $\frac{3}{4}(-3 \pm \sqrt{3})$, and note that $\frac{3}{4}(3 - \sqrt{3}) < 1$.

We have thus the following picture:



The real part of the curve C .

Using the previous description, and looking at the above picture, we may now easily describe the motion of the roots y as x moves along the real axis from $+\infty$ to $-\frac{9}{8}$.

For $x > 0$ we have exactly 4 imaginary roots $A_1(x) = iY_1(x)$, $A_2(x) = iY_2(x)$, $B_2(x) = -iY_2(x)$, $B_1(x) = -iY_1(x)$, where $Y_1(x), Y_2(x) \in \mathbb{R}$ and $Y_1(x) > Y_2(x) > 0$.

For $x = 0$, A_2, B_2 become $= 0$, and then for $-1 < x < 0$ A_2, B_2 become real and opposite, B_2 is positive and grows as x decreases, while A_1 remains imaginary and with decreasing absolute value.

For $x = -1$ A_1, B_1 become $= 0$, while $B_2 = \frac{1}{4}$, $A_2 = -\frac{1}{4}$, finally in the interval $-\frac{9}{8} < x < -1$ we have 4 distinct real roots $B_2(x) > B_1(x) > 0 > A_1(x) = -B_1(x) > A_2(x) = -B_2(x)$ and both roots $B_2(x) > B_1(x)$ grow as x approaches $-\frac{9}{8}$: for this value we have $B_2 = B_1 = \frac{3}{8}\sqrt{3}$.

5. BRAID MONODROMY OF C AND FUNDAMENTAL GROUP OF THE COMPLEMENT

We take as projection of the pair (\mathbb{C}^2, C) a linear form very close to x , since the projection x has a double critical value $x = -\frac{9}{8}$: the effect is then that we split into two factors the corresponding braid.

In order to get a simple picture let us take as base point $x_0 = \frac{3}{4}(\sqrt{3}-3)$. Then $-1 < x_0 < 0$, and we have two real roots A_2, B_2 and two purely imaginary roots A_1, B_1 as in Figure 2, left side.

If we move on the real axis to the right to $x = 0$ then the two real roots meet at zero and we have a horizontal vanishing arc connecting the real roots with a $3/2$ -twist around this arc as local monodromy. If we move on the real axis to the left to $x = -1$ then the two complex roots meet at zero and we get a vertical vanishing arc connecting the imaginary roots with one half-twist around this arc as local monodromy. If instead of going directly to $x = -1$ we

make a half turn around it counterclockwise and continue along the real axis to the left then the imaginary roots turn $\pi/4$ counterclockwise around zero, the top root A_1 becomes real negative and "runs after A_2 " and the bottom root B_1 becomes real positive and "runs after B_2 ". The roots meet for the appropriate critical values of x (in a neighbourhood of $-\frac{9}{8}$).

The corresponding vanishing arcs are just straight intervals connecting A_1 with A_2 and B_1 with B_2 . They are disjoint so the order of these two critical values of x is not important. The corresponding monodromy factors are $3/2$ -twists around these arcs and they commute. We take a cyclic order of the paths counterclockwise around x_0 starting first with the two critical values near $-\frac{9}{8}$, then proceeding with the critical value ' $x = -1$ ' (actually, near $x = -1$: recall in fact that we changed slightly the axis of projection to split the two critical values of x near $-\frac{9}{8}$, and finally ending with ' $x = 0$ '.

The base point is usually chosen far away from the critical values. We should do this also in our case since we deal with a local picture and we may at a later convenience want to relate it to a global picture where other monodromy factors occur, coming from other critical values.

We move then the base point to the right along the real axis, passing $x = 0$ on the right, making a half-turn clockwise around it. The paths from x_0 to the critical values of x will be dragged along. The real roots A_2 and B_2 get closer to zero and then turn clockwise around zero by a $(3/2)\pi$ turn (in fact, a full turn of x around $x = 0$ produces a $3/2$ -turn of the roots). The whole picture of vanishing arcs moves with them. We get a configuration as on the right side of Figure 2.

When x moves further to the right along the real axis the roots remain purely imaginary and just move further away from zero.

We have thus obtained a complete determination of the braid monodromy of C , which is illustrated by Figure 2 and summarized in the following

Theorem 5.1. *Consider the arcs depicted on the right side of Figure 2.*

Then the braid monodromy of C is given, in this order, by the cube of a half-twist around the arc connecting A_1 and A_2 , by the cube of a half-twist around the arc connecting B_2 and B_1 , by the half-twist around the arc connecting A_1 and B_1 and finally by the cube of a half-twist around the arc connecting A_2 and B_2 .

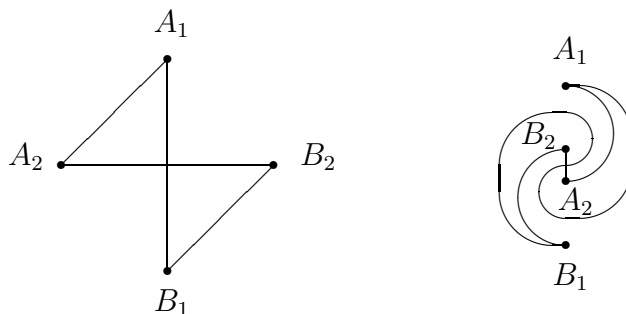


Figure 2. *Vanishing arcs*

Let us now consider again the left part of Figure 2 and let us take as base point for the fundamental group of the fibre $\mathbb{C} - \{A_1, A_2, B_2, B_1\}$ a point y_0 with large positive real part and small positive imaginary part.

We consider then a geometric basis $\alpha_1, \alpha_2, \beta_2, \beta_1$ of $\pi_1(\mathbb{C} - \{A_1, A_2, B_2, B_1\}, y_0)$, where for instance α_1 is given by a subsegment s_{A_1} on the segment joining y_0 with A_1 , followed by a full small circle around A_1 , and then followed by the inverse path of s_{A_1} (the other loops are defined similarly).

By the van Kampen theorem the fundamental group $\pi_1(\mathbb{C}^2 - C, y_0)$ is generated by $\alpha_1, \alpha_2, \beta_2, \beta_1$ subject to the relations coming from the braid monodromy, thus we obtain a presentation

$$\pi_1(\mathbb{C}^2 - C, y_0) = \langle \alpha_1, \alpha_2, \beta_2, \beta_1 \mid \alpha_1 \alpha_2 \alpha_1 = \alpha_2 \alpha_1 \alpha_2, \beta_1 \beta_2 \beta_1 = \beta_2 \beta_1 \beta_2,$$

$$\alpha_2 \beta_2 \alpha_2 = \beta_2 \alpha_2 \beta_2, \beta_2 \beta_1 = \alpha_1 \beta_2 \rangle .$$

We need only explain the last relation, coming from the relations $\sigma(\gamma) = \gamma$, where σ is the half twist on the curve τ , corresponding to the vertical tangency for $x = -1$, which makes the two roots A_1, B_1 become equal.

The action of this half twist σ is the following

- $\alpha_1 \rightarrow \alpha_1 \beta_2 \beta_1 \beta_2^{-1} \alpha_1^{-1}$
- $\alpha_2 \rightarrow \alpha_1 \beta_2 \beta_1^{-1} \beta_2^{-1} \alpha_2 \beta_2 \beta_1 \beta_2^{-1} \alpha_1^{-1}$
- $\beta_2 \rightarrow \beta_2$
- $\beta_1 \rightarrow \beta_2^{-1} \alpha_1 \beta_2$.

We end by describing the monodromy homomorphism μ of the degree 4 covering $\pi_1(\mathbb{C}^2 - C, y_0) \rightarrow \mathcal{S}_4$. The action of μ must then be, up to conjugation in \mathcal{S}_4 , the following one:

- $\alpha_1 \rightarrow (1, 2)$
- $\alpha_2 \rightarrow (2, 3)$
- $\beta_2 \rightarrow (2, 4)$
- $\beta_1 \rightarrow (1, 4)$.

In fact, we observe first that

(**) the generators $\alpha_1, \alpha_2, \beta_2, \beta_1$ must map to transpositions, and the image of μ is transitive.

One sees then, using the presentation of $\pi_1(\mathbb{C}^2 - C)$, that

(***) there is a unique homomorphism of $\pi_1(\mathbb{C}^2 - C)$ into \mathcal{S}_4 (up to conjugation in \mathcal{S}_4) which satisfies (**).

If instead we want to calculate the fundamental group of the complement $\pi_1(\mathbb{P}^2 - C, y_0)$ we must add the relation $\alpha_1 \alpha_2 \beta_2 \beta_1 = 1$ and we can then simplify the presentation obtaining $\pi_1(\mathbb{P}^2 - C, y_0) = \langle \alpha_1, \alpha_2 \mid \alpha_1 \alpha_2 \alpha_1 = \alpha_2 \alpha_1 \alpha_2, \alpha_2 \alpha_1 \alpha_1 \alpha_2 = 1 \rangle$, which is the spherical braid group of three points in \mathbb{P}^1 , as shown long ago by Zariski, and also in greater generality by Moishezon (cf. [Zar], [Moi1]).

This calculation shows that the degree four covering is also branched on the line at infinity, where the local monodromy is the double transposition

$(1, 3)(2, 4)$ (in the Galois case we have three branch lines, corresponding to the three nontrivial elements of $(\mathbb{Z}/2)^2$, and we have the standard model for a bidouble cover given by a special projection of the Veronese surface, as described in [Cat4], page 100.

Let us briefly recall it: consider the Veronese surface V , i.e., the variety of symmetric matrices of rank 2

$$\text{rank} \begin{pmatrix} x_1 & w_3 & w_2 \\ w_3 & x_2 & w_1 \\ w_2 & w_1 & x_3 \end{pmatrix} = 1.$$

V is isomorphic to \mathbb{P}^2 with coordinates (y_1, y_2, y_3) by setting

$$x_i = y_i^2, \quad w_1 = y_2 y_3, \quad w_2 = y_1 y_3, \quad w_3 = y_1 y_2,$$

and the projection $\pi : V \rightarrow \mathbb{P}^2$ given by (x_1, x_2, x_3) corresponds to the $(\mathbb{Z}/2)^2$ Galois cover

$$(y_1, y_2, y_3) \rightarrow (y_1^2, y_2^2, y_3^2).$$

The deformed degree 4 covering is then a slightly less special, yet very interesting projection of the Veronese surface.

6. THE TANGENTIAL DEVELOPABLE F OF THE TWISTED CUBIC Γ .

This final section is devoted to the proof of an interesting characterization of the above surface

Theorem 6.1. *The tangential developable F of the twisted cubic Γ is the unique irreducible surface of degree 4 in \mathbb{P}^3 which has the twisted cubic Γ as a cuspidal curve.*

Remark 6.2. 1) *After proving the theorem, we looked again with more care at the book by Conforto and Enriques "Le superficie razionali" ([SupRaz]), where Chapter V is mostly devoted to the surfaces of degree 4 which contain Γ as a double curve. On page 114 the above theorem is mentioned, and a different proof is briefly sketched in a footnote.*

It is then mentioned in [SupRaz] that the complete classification of surfaces of degree 4 ruled by lines, started by Cayley in [Cay]. was achieved by Cremona ([Crem]), and a later classification was also given by G. Gherardelli ([Gher]). For lack of time (pending deadline), we are not in a position to determine who gave the first proof of the above theorem 6.1.

We hope however that our modern description of quartic surfaces having the twisted cubic as double curve may be found simple and useful.

2) *Note that, if we view \mathbb{P}^3 as the space of effective degree 3 divisors on \mathbb{P}^1 , then Γ , $F - \Gamma$, $\mathbb{P}^3 - F$ are exactly the three $\mathbb{P}GL(2)$ -orbits, corresponding to the divisors of respective types $3P$, $2P_1 + P_2$ ($P_1 \neq P_2$), $P_1 + P_2 + P_3$ with P_1, P_2, P_3 three distinct points.*

Proof. of Theorem 6.1 The twisted cubic curve Γ is the image of \mathbb{P}^1 under the third Veronese mapping $v_3(t_0, t_1) := (t_0^3, t_0^2 t_1, t_0 t_1^2, t_1^3)$, and its projective

coordinate ideal I_Γ is generated by three quadrics, the determinant of the 2×2 -minors of the matrix

$$A = \begin{pmatrix} x_0 & x_1 & x_2 \\ x_1 & x_2 & x_3 \end{pmatrix}.$$

Thus we have a three dimensional vector space V consisting of the quadrics containing Γ . Thus $V := I_\Gamma(2) = H^0(\mathbb{P}^3, \mathcal{I}_\Gamma(2))$, where \mathcal{I}_Γ is the ideal sheaf of Γ , is generated by

$$Q_0 := x_1x_3 - x_2^2, \quad Q_1 := -x_0x_3 + x_1x_2, \quad Q_2 := x_0x_2 - x_1^2.$$

Observe now that, to each point $x \in \Gamma$ is associated a unique quadric cone Q_x containing Γ and with vertex x : since projection with centre x maps Γ to a plane conic. Thus we have a map $\Gamma \rightarrow \mathbb{P}(V)$ associating Q_x to x (in our notation, $\mathbb{P}(V)$ denotes the set of 1-dimensional subspaces of the vector space V). We denote by $\tilde{\Gamma}$ the image of this map, and observe that there is thus a canonical bijection between Γ and $\tilde{\Gamma}$.

Lemma 6.3. *Consider in the projective plane $\mathbb{P}(V) := \{Q \mid \Gamma \subset Q\}$ the quartic curve $\{Q \mid \det Q = 0\}$. Then this quartic curve is the conic $\tilde{\Gamma} \subset \mathbb{P}(V)$ counted with multiplicity 2.*

Proof. of the Lemma. Observe that if a quadric Q contains Γ , then $\text{Rank}(Q) \geq 3$ since Γ is irreducible.

CLAIM: If $\text{Rank}(Q) = 3$ (then Q is a quadric cone) the vertex x of Q is a point of Γ .

Proof of the claim: otherwise Γ would be a Cartier divisor on Q , whence it is known (but we reprove it below) that its degree should be even.

Let in fact \mathbb{F}_2 be the blow-up of Q at x , thus a basis of $\text{Pic}(\mathbb{F}_2)$ is given by the exceptional curve σ , and by the strict transform F of a line, which satisfy $F^2 = 0$, $\sigma^2 = -2$, $\sigma F = 1$. The plane section H is linearly equivalent to $2F + \sigma$, and let $\Gamma \equiv a\sigma + bF$. From the equations $\sigma\Gamma = 0$, $H\Gamma = 3$ we obtain $b - 2a = 0$, $b = 3$, a contradiction (in reality the class of Γ is $3F + \sigma$). \square

It follows that the quartic curve $\{Q \in \mathbb{P}(V) \mid \det Q = 0\}$ is set theoretically the curve $\tilde{\Gamma}$, which is a rational curve. But $\tilde{\Gamma}$ is homogeneous by the action of $\text{PGL}(2)$ acting on $\mathbb{P}(V)$, thus $\tilde{\Gamma}$ is smooth and must be a conic: the assertion follows then right away.

\square for the Lemma

We analyse now the space of quartics which have Γ as a double curve:

Lemma 6.4. $U := H^0(\mathbb{P}^3, \mathcal{I}_\Gamma^2(4)) \cong \text{Sym}^2(V)$.

Proof. of the Lemma. Let $F \in H^0(\mathbb{P}^3, \mathcal{I}_\Gamma^2(4))$: we need to show that F is equal to a quadratic polynomial $f(Q_0, Q_1, Q_2)$. Let us first consider the divisor cut by F on the smooth quadric Q_1 : since $\text{Pic}(Q_1)$ has as basis the respective rulings L_1 and L_2 , and the hyperplane divisor H is linearly equivalent to $L_1 + L_2$, we see by direct calculation that, under the isomorphism $Q_1 \cong \mathbb{P}^1 \times \mathbb{P}^1$, $\text{div}_{Q_1}(Q_0) = \Gamma + \text{div}(u_0)$, $\text{div}_{Q_1}(Q_2) = \Gamma + \text{div}(u_1)$, where $(u_0, u_1)(v_0, v_1)$ are suitable coordinates on $\mathbb{P}^1 \times \mathbb{P}^1$.

It follows in particular that the quadric cones Q_x cut on Q_1 the curve Γ plus the line of the first ruling passing through x , and more importantly that $\text{div}_{Q_1}(F) = 2\Gamma + \text{div}(\phi(u_0, u_1))$, where ϕ is a quadratic polynomial. Whence, $\text{div}_{Q_1}(F) = \text{div}(\phi(Q_0, Q_2))$, and there is a quadratic form Q_3 in \mathbb{P}^3 such that

$$F = \phi(Q_0, Q_2) + Q_1Q_3.$$

Since however $\phi(Q_0, Q_2) \in H^0(\mathbb{P}^3, \mathcal{I}_\Gamma^2(4))$, it follows that $Q_1Q_3 \in H^0(\mathbb{P}^3, \mathcal{I}_\Gamma^2(4))$ and thus $Q_3 \in H^0(\mathbb{P}^3, \mathcal{I}_\Gamma(2))$, so that Q_3 is a linear combination of Q_0, Q_1, Q_2 .

□ for the Lemma

For such a surface F as above, Γ is a double curve, and we are going to show that a general such surface possesses 4 pinch points on Γ . As a first step in this direction, we describe the conormal bundle to Γ .

Lemma 6.5. $\mathcal{N}_\Gamma^*(2) \cong \mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_{\mathbb{P}^1}(1)$.

Proof. of the Lemma.

We know that $Q_0, Q_1, Q_2 \in H^0(\mathbb{P}^3, \mathcal{I}_\Gamma(2))$ induce, under the surjection $\mathcal{I}_\Gamma(2) \rightarrow \mathcal{I}_\Gamma/\mathcal{I}_\Gamma^2(2) = \mathcal{N}_\Gamma^*(2)$, three sections q_0, q_1, q_2 which generate the Rank 2 bundle $\mathcal{N}_\Gamma^*(2)$. Since $\det(\mathcal{N}_\Gamma^*(2))$ has degree 2 on \mathbb{P}^1 , as it is easily seen by the cotangent bundle sequence for $\Gamma \subset \mathbb{P}^3$, it follows that $\mathcal{N}_\Gamma^*(2)$ splits either as $\mathcal{O}_{\mathbb{P}^1}(1) \oplus \mathcal{O}_{\mathbb{P}^1}(1)$ or $\mathcal{O}_{\mathbb{P}^1}(0) \oplus \mathcal{O}_{\mathbb{P}^1}(2)$.

But we can exclude the second case since any quadric cone $Q_x \in V$ induces a section $q \in H^0(\mathcal{N}_\Gamma^*(2))$ whose two components have a simple zero at x , and no other zero.

□ for the Lemma

We have now a discriminant map

$$\delta : \text{Sym}^2(\mathcal{N}_\Gamma^*(2)) = \mathcal{O}_{\mathbb{P}^1}(2) \oplus \mathcal{O}_{\mathbb{P}^1}(2) \oplus \mathcal{O}_{\mathbb{P}^1}(2) \rightarrow \mathcal{O}_{\mathbb{P}^1}(4),$$

given by $\delta(a, b, c) = 4ac - b^2$ and vanishing on the simple tensors.

Assume now that $q_j = (a_j, b_j)$: then we get an equation for the pinch points of a surface

$$F = \sum_{i,j} \lambda_{i,j} Q_i Q_j,$$

namely,

$$\Delta(F) = 4\left(\sum_{i,j} \lambda_{i,j} a_i a_j\right)\left(\sum_{i,j} \lambda_{i,j} b_i b_j\right) - \left(\sum_{i,j} \lambda_{i,j} [a_i b_j + b_i a_j]\right)^2 \in H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(4)).$$

We see then that a general surface $F \in \mathbb{P}(\text{Sym}^2(V))$ has 4 pinch points along Γ , and that

(*) Γ is a cuspidal curve for F if and only if $\Delta(F) \equiv 0$.**

We observe then that (***) is a system of 5 quadratic equations vanishing on the Veronese surface (the surfaces in $\mathbb{P}(\text{Sym}^2(V))$ which are squares Q^2 of $Q \in V$). We can then bet that our problem is equivalent to the problem of the number of conics tangent to 5 fixed lines in the plane.

We show that this is indeed the case, because first of all

1) $\mathbb{P}(\text{Sym}^2(V))$ is the space of conics in $\mathbb{P}(V^\vee)$.

2) Γ is a cuspidal curve for F if and only if $\Delta(F)$ vanishes in 5 fixed distinct points $x(1), \dots, x(5) \in \Gamma$, i.e., $x(1), \dots, x(5) \in \Gamma$ are pinch points for F .

3) the following holds:

Lemma 6.6. *$x \in \Gamma$ is a pinch point for F if and only if the corresponding conic C_F in $\mathbb{P}(V^\vee)$ is tangent to the line in $\mathbb{P}(V^\vee)$ dual to the point $\tilde{x} \in \tilde{\Gamma}$ corresponding to x (i.e., \tilde{x} is the point given by the quadric cone Q_x).*

Proof. of the Lemma.

Let us take coordinates (t_0, t_1) in \mathbb{P}^1 such that x corresponds to the point $t_1 = 0$. Likewise, since $\tilde{\Gamma}$ is a smooth conic, we may take a basis of V corresponding to quadric cones Q_0, Q_1, Q_2 with vertices in the respective points of Γ corresponding to $t_0 = 0, t_1 = 0, t_2 := t_0 - t_1 = 0$.

Observe then for later use that the point x corresponds to the point $\tilde{x} = (0, 1, 0)$ in $\mathbb{P}(V)$, so its dual line, in the dual basis coordinates, will be the line $y_1 = 0$.

The evaluation of the three sections q_0, q_1, q_2 in the fibre of the conormal bundle at $x : t_1 = 0, t_0 = 1$ yields respective vectors forming a matrix

$$A'' := \begin{pmatrix} 1 & 0 & 1 \\ b_0 & 0 & b_2 \end{pmatrix}.$$

Then a quartic $F = \sum_{i,j} \lambda_{i,j} Q_i Q_j$ has our point as a pinch point if and only if the following 2×2 symmetric matrix has zero determinant:

$$M := \begin{pmatrix} \lambda_{00} + \lambda_{02} + \lambda_{22} & \lambda_{00}b_0 + \frac{1}{2}\lambda_{02}(b_0 + b_2) + \lambda_{22}b_2 \\ \lambda_{00}b_0 + \frac{1}{2}\lambda_{02}(b_0 + b_2) + \lambda_{22}b_2 & \lambda_{00}b_0^2 + \lambda_{02}b_0b_2 + \lambda_{22}b_2^2 \end{pmatrix}.$$

Then we have

$$\det(M) = (b_0 - b_2)^2(\lambda_{00}\lambda_{22} - 4\lambda_{00}^2).$$

We then observe that $(b_0 - b_2)^2 \neq 0$ because not all the above quartics F have a pinch point in x .

Therefore, the condition that x be a pinch point is exactly given by $(\lambda_{00}\lambda_{22} - 4\lambda_{00}^2) = 0$, i.e., by the condition that the conic $C_F = \{(y_0, y_1, y_2) \mid \sum_{i,j} \lambda_{i,j} y_i y_j = 0\}$ be tangent to the line $\{y_1 = 0\}$ dual to the point \tilde{x} .

□ for the Lemma

We are now ready to finish the proof of Theorem 6.1: assume that F is a quartic surface which has Γ as a double curve, and assume that F is not the square of a quadric $Q \in V$. Then the corresponding conic C_F has rank ≥ 2 .

Assume further that Γ is a cuspidal curve for F : this holds if and only if the conic C_F is tangent to five fixed lines L_1, \dots, L_5 dual to 5 points $\tilde{x}_1, \dots, \tilde{x}_5 \in \tilde{\Gamma}$.

Then the dual conic C_F^\vee passes through the five points $\tilde{x}_1, \dots, \tilde{x}_5 \in \tilde{\Gamma}$, and therefore coincides with $\tilde{\Gamma}$.

We have thus shown that there is one and only one such quartic F which is not a quadric counted with multiplicity 2, so we conclude that F , which is

a union of $\mathbb{P}GL(2)$ orbits, is exactly the tangential developable surface of Γ , which is an irreducible surface. □

Note. This article is essentially based on classical mathematics, and it is accordingly written in classical style, sometimes referred to by referees as: "a style which is suitable for Conference Proceedings". We hope that the style may be suitable for the reader.

Acknowledgements.

We would like to thank the referee for pointing out a gap in the original proof of Theorem 2.1, and correcting a couple of minor mistakes. We also thank Fabio Tonoli for providing a Macaulay 2 Script verifying that the dual variety of P is indeed a curve.

Finally, the first named author would like to acknowledge the hospitality of M.S.R.I. in march 2004, where the first calculations of the braid monodromy were begun.

Dedication.

Finally, the manifold occurrence of words such as "Veronese surface" or "Veronese embedding" points out the appropriateness of this article to celebrate the 150-th anniversary of the birth of Giuseppe Veronese.

REFERENCES

- [A-B-K-P] J. Amorós, F. Bogomolov, L. Katzarkov, T. Pantev *Symplectic Lefschetz fibrations with arbitrary fundamental group*, With an appendix by Ivan Smith, **J. Differential Geom.** **54 n. 3** (2000), 489–545
- [Aur02] D. Auroux, *Fiber sums of genus 2 Lefschetz fibrations* **Turkish J. Math.** **27 n. 1** (2003), 1–10.
- [A-K] D. Auroux, L. Katzarkov, *Branched coverings of $\mathbb{C}P^2$ and invariants of symplectic 4-manifolds* **Inv. Math.** **142** (2000), 631–673.
- [A-D-K-Y] D. Auroux, S. Donaldson, L. Katzarkov and M. Yotov, *Fundamental groups of complements of plane curves and symplectic invariants* **GT/0203183v1** (2002).
- [A-D-K] D. Auroux, S. Donaldson, L. Katzarkov *Luttinger surgery along Lagrangian tori and non-isotopy for singular symplectic plane curves*. **Math. Ann.** **326 n. 1** (2003), 185–203
- [Cat1] F. Catanese, *On the Moduli Spaces of Surfaces of General Type*, **J. Diff. Geom** **19** (1984) 483–515.
- [Cat2] F. Catanese, "Moduli spaces of surfaces and real structures", **Annals of Math.** **158, n. 2** (2003), 577–592.
- [Cat3] F. Catanese, "Symplectic structures of algebraic surfaces and deformation", 14 pages, math.AG/0207254.
- [Cat4] F. Catanese, "Singular bidouble covers and the construction of interesting algebraic surfaces", Proceedings of the Warsaw Conference in honour of F. Hirzebruch's 70th Birthday, **A.M.S. Contemp. Math.** **241** (1999), 97–120.
- [C-W] F. Catanese, B. Wajnryb, "Diffeomorphism of simply connected algebraic surfaces", 33 pages, math.AG/0405299.
- [Cay] A. Cayley, "Second Memoir on skew surfaces, otherwise scrolls", **Phil. Trans.** (1864).
- [SupRaz] F. Conforto, "Le superficie razionali", **N. Zanichelli, Bologna** (1939) XV, 554 p.
- [Crem] L. Cremona, "Sulle superficie gobbe di quarto grado", **Memoria dell' Accademia delle Scienze dell' Istituto di Bologna, Seire II, Tomo VIII** (1868), also **Opere, Tomo II**, pag. 420.
- [Don6] S. K. Donaldson, "Lefschetz pencils on symplectic manifolds", **J. Differential Geom.** **53 no. 2** (1999), 205–236.

- [Don7] S. K. Donaldson, “*Lefschetz fibrations in symplectic geometry*”, Proceedings of the International Congress of Mathematicians, Vol. II (Berlin, 1998), **Doc. Math. 1998, Extra Vol. II**, 309–314.
- [D-S] S.K. Donaldson, I. Smith, “*Lefschetz pencils and the canonical class for symplectic four-manifolds*”, **Topology** **42 n. 4** (2003), 743–785
- [Gher] G. Gherardelli, “*Sulle superficie rigate di 4° grado*”, **Boll. Unione Mat. Ital.** **15** (1936), 17-20 .
- [Jes] C. M. Jessop, “*Quartic surfaces with singular points*”, **Cambridge: University Press**,(1916) XXXV u. 197 p.
- [Kas] A. Kas, “*On the handlebody decomposition associated to a Lefschetz fibration*”, **Pacific J. Math.** **89 n. 1** (1980), 89–104.
- [Lib] A. Libgober, “*Fundamental groups of the complements to plane singular curves*”, in ‘Algebraic geometry, Bowdoin, 1985’ (Brunswick, Maine, 1985), **Proc. Sympos. Pure Math., 46, Part 2, Amer. Math. Soc., Providence, RI** (1987), 29-45.
- [Moi1] B. Moishezon, “*Stable branch curves and braid monodromies*”, in ‘Algebraic geometry’ (Chicago, Ill., 1980), **Lecture Notes in Math., 862, Springer, Berlin-New York** (1981), 107-192.
- [Moi2] B. Moishezon, “*Algebraic surfaces and the arithmetic of braids. I*”, in ‘Arithmetic and geometry’, Vol. II, **Progr. Math., 36, Birkhuser Boston, Boston, MA** (1983), 199–269.
- [Moi3] B. Moishezon, “*The arithmetics of braids and a statement of Chisini*”, in ‘Geometric Topology, Haifa 1992’ , **Contemp. Math. 164, A.M.S.** (1994), 151-175.
- [Zar] O. Zariski, “*On the Poincaré group of rational plane curves*”, **Amer. J. Math.** **58** (1936), 607-619.
- [Zar2] O. Zariski, “*A theorem on the Poincaré group of an algebraic hypersurface*”, **Ann. of Math. (2)** **38, n. 1** (1937), 131-141.

Prof. Fabrizio Catanese
 Lehrstuhl Mathematik VIII
 Universität Bayreuth, NWII
 D-95440 Bayreuth, Germany

e-mail: Fabrizio.Catanese@uni-bayreuth.de

Prof. Bronislaw Wajnryb
 Department of Mathematics
 Technion
 32000 Haifa, Israel

e-mail: wajnryb@technix.technion.ac.il