

---

# The Rationality of Certain Moduli Spaces of Curves of Genus 3

Ingrid Bauer and Fabrizio Catanese

Mathematisches Institut  
Universität Bayreuth, NW II  
D-95440 Bayreuth, Germany  
Ingrid.Bauer@uni-bayreuth.de, Fabrizio.Catanese@uni-bayreuth.de

**Summary.** We prove rationality of the moduli space of pairs of curves of genus three together with a point of order three in their Jacobian.

**Key words:** Rationality, moduli spaces of curves

*2000 Mathematics Subject Classification codes:* 14E08, 14H10, 14H45

## 1 Introduction

The aim of this paper is to give an explicit geometric description of the birational structure of the moduli space of pairs  $(C, \eta)$ , where  $C$  is a general curve of genus 3 over an algebraically closed field  $k$  of arbitrary characteristic and  $\eta \in \text{Pic}^0(C)_3$  is a nontrivial divisor class of 3-torsion on  $C$ .

As was observed in [B-C04, Lemma (2.18)], if  $C$  is a general curve of genus 3 and  $\eta \in \text{Pic}^0(C)_3$  is a nontrivial 3-torsion divisor class, then we have a morphism  $\varphi_\eta := \varphi_{|K_C + \eta|} \times \varphi_{|K_C - \eta|} : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ , corresponding to the sum of the linear systems  $|K_C + \eta|$  and  $|K_C - \eta|$ , which is birational onto a curve  $\Gamma \subset \mathbb{P}^1 \times \mathbb{P}^1$  of bidegree  $(4, 4)$ . Moreover,  $\Gamma$  has exactly six ordinary double points as singularities, located in the six points of the set  $\mathcal{S} := \{(x, y) | x \neq y, x, y \in \{0, 1, \infty\}\}$ .

In [B-C04] we only gave an outline of the proof (and there is also a minor inaccuracy). Therefore we dedicate the first section of this article to a detailed geometrical description of such pairs  $(C, \eta)$ , where  $C$  is a general curve of genus 3 and  $\eta \in \text{Pic}^0(C)_3 \setminus \{0\}$ .

The main result of the first section is the following:

**Theorem 1.1.** *Let  $C$  be a general (in particular, nonhyperelliptic) curve of genus 3 over an algebraically closed field  $k$  (of arbitrary characteristic) and  $\eta \in \text{Pic}^0(C)_3 \setminus \{0\}$ .*

Then the rational map  $\varphi_\eta : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$  defined by

$$\varphi_\eta := \varphi|_{K_C + \eta} \times \varphi|_{K_C - \eta} : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$$

is a morphism, birational onto its image  $\Gamma$ , which is a curve of bidegree  $(4, 4)$  having exactly six ordinary double points as singularities. We can assume, up to composing  $\varphi_\eta$  with a transformation of  $\mathbb{P}^1 \times \mathbb{P}^1$  in  $\mathbb{P}GL(2, k)^2$ , that the singular set of  $\Gamma$  is the set

$$\mathcal{S} := \{(x, y) \in \mathbb{P}^1 \times \mathbb{P}^1 \mid x \neq y ; x, y \in \{0, 1, \infty\}\}.$$

Conversely, if  $\Gamma$  is a curve of bidegree  $(4, 4)$  in  $\mathbb{P}^1 \times \mathbb{P}^1$ , whose singularities consist of exactly six ordinary double points at the points of  $\mathcal{S}$ , its normalization  $C$  is a curve of genus 3, such that  $\mathcal{O}_C(H_2 - H_1) =: \mathcal{O}_C(\eta)$  (where  $H_1, H_2$  are the respective pullbacks of the rulings of  $\mathbb{P}^1 \times \mathbb{P}^1$ ) yields a nontrivial 3-torsion divisor class, and  $\mathcal{O}_C(H_1) \cong \mathcal{O}_C(K_C + \eta)$ ,  $\mathcal{O}_C(H_2) \cong \mathcal{O}_C(K_C - \eta)$ .

From Theorem 1.1 it follows that

$$\mathcal{M}_{3, \eta} := \{(C, \eta) : C \text{ is a general curve of genus 3, } \eta \in \text{Pic}^0(C)_3 \setminus \{0\}\}$$

is birational to  $\mathbb{P}(V(4, 4, -\mathcal{S}))/\mathfrak{S}_3$ , where

$$V(4, 4, -\mathcal{S}) := H^0(\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(4, 4)(-2 \sum_{a \neq b, a, b \in \{\infty, 0, 1\}} (a, b))).$$

In fact, the permutation action of the symmetric group  $\mathfrak{S}_3 := \mathfrak{S}(\{\infty, 0, 1\})$  extends to an action on  $\mathbb{P}^1$ , so  $\mathfrak{S}_3$  is naturally a subgroup of  $\mathbb{P}GL(2, k)$ . We consider then the diagonal action of  $\mathfrak{S}_3$  on  $\mathbb{P}^1 \times \mathbb{P}^1$ , and observe that  $\mathfrak{S}_3$  is exactly the subgroup of  $\mathbb{P}GL(2, k)^2$  leaving the set  $\mathcal{S}$  invariant. The action of  $\mathfrak{S}_3$  on  $V(4, 4, -\mathcal{S})$  is naturally induced by the diagonal inclusion  $\mathfrak{S}_3 \subset \mathbb{P}GL(2, k)^2$ .

On the other hand, if we consider only the subgroup of order three of  $\text{Pic}^0(C)$  generated by a nontrivial 3-torsion element  $\eta$ , we see from Theorem 1.1 that we have to allow the exchange of  $\eta$  with  $-\eta$ , which corresponds to exchanging the two factors of  $\mathbb{P}^1 \times \mathbb{P}^1$ . Therefore

$$\mathcal{M}_{3, \langle \eta \rangle} := \{(C, \langle \eta \rangle) : C \text{ general curve of genus 3, } \langle \eta \rangle \cong \mathbb{Z}/3\mathbb{Z} \subset \text{Pic}^0(C)\}$$

is birational to  $\mathbb{P}(V(4, 4, -\mathcal{S}))/(\mathfrak{S}_3 \times \mathbb{Z}/2)$ , where the action of the generator  $\sigma$  (of  $\mathbb{Z}/2\mathbb{Z}$ ) on  $V(4, 4, -\mathcal{S})$  is induced by the action on  $\mathbb{P}^1 \times \mathbb{P}^1$  obtained by exchanging the two coordinates.

Our main result is the following:

**Theorem 1.2.** *Let  $k$  be an algebraically closed field of arbitrary characteristic. We have:*

- 1) *the moduli space  $\mathcal{M}_{3, \eta}$  is rational;*
- 2) *the moduli space  $\mathcal{M}_{3, \langle \eta \rangle}$  is rational.*

One could obtain the above result abstractly from the method of Bogomolov and Katsylo (cf. [B-K85]), but we prefer to prove the theorem while explicitly calculating the field of invariant functions. It mainly suffices to decompose the vector representation of  $\mathfrak{S}_3$  on  $V(4, 4, -\mathcal{S})$  into irreducible factors. Of course, if the characteristic of  $k$  equals two or three, it is no longer possible to decompose the  $\mathfrak{S}_3$ -module  $V(4, 4, -\mathcal{S})$  as a direct sum of irreducible submodules. Nevertheless, we can write down the field of invariants and see that it is rational.

**Acknowledgment.** The research of the authors was performed in the realm of the DFG Forschergruppe 790 “Classification of algebraic surfaces and compact complex manifolds.”

## 2 The geometric description of pairs $(C, \eta)$

In this section we give a geometric description of pairs  $(C, \eta)$ , where  $C$  is a general curve of genus 3 and  $\eta$  is a nontrivial element of  $\text{Pic}^0(C)_3$ , and we prove Theorem 1.1.

Let  $k$  be an algebraically closed field of arbitrary characteristic. We recall the following observation from [B-C04, p. 374].

**Lemma 2.1.** *Let  $C$  be a general curve of genus 3 and  $\eta \in \text{Pic}^0(C)_3$  a non-trivial divisor class (i.e.,  $\eta$  is not linearly equivalent to 0). Then the linear system  $|K_C + \eta|$  is base point free. This holds more precisely under the assumption that the canonical system  $|K_C|$  does not contain two divisors of the form  $Q + 3P$ ,  $Q + 3P'$ , and where the 3-torsion divisor class  $P - P'$  is the class of  $\eta$ . This condition for all such  $\eta$  is in turn equivalent to the fact that  $C$  is either hyperelliptic or it is nonhyperelliptic but the canonical image  $\Sigma$  of  $C$  does not admit two inflexional tangents meeting in a point  $Q$  of  $\Sigma$ .*

*Proof.* Note that  $P$  is a base point of the linear system  $|K_C + \eta|$  if and only if

$$H^0(C, \mathcal{O}_C(K_C + \eta)) = H^0(C, \mathcal{O}_C(K_C + \eta - P)).$$

Since  $\dim H^0(C, \mathcal{O}_C(K_C + \eta)) = 2$  this is equivalent to

$$\dim H^1(C, \mathcal{O}_C(K_C + \eta - P)) = 1.$$

Since  $H^1(C, \mathcal{O}_C(K_C + \eta - P)) \cong H^0(C, \mathcal{O}_C(P - \eta))^*$ , this is equivalent to the existence of a point  $P'$  such that  $P - \eta \equiv P'$  (note that we denote linear equivalence by the classical notation “ $\equiv$ ”). Therefore  $3P \equiv 3P'$  and  $P \neq P'$ , whence in particular  $H^0(C, \mathcal{O}_C(3P)) \geq 2$ . By Riemann–Roch we have

$$\begin{aligned} \dim H^0(C, \mathcal{O}_C(K_C - 3P)) = \\ \deg(K_C - 3P) + 1 - g(C) + \dim H^0(C, \mathcal{O}_C(3P)) \geq 1. \end{aligned}$$

In particular, there is a point  $Q$  such that  $Q \equiv K_C - 3P \equiv K_C - 3P'$ .

Going backwards, we see that this condition is not only necessary, but sufficient. If  $C$  is hyperelliptic, then  $Q + 3P, Q + 3P' \in |K_C|$ , hence  $P, P'$  are Weierstrass points, whence  $2P \equiv 2P'$ , hence  $P - P'$  yields a divisor class  $\eta$  of 2-torsion, contradicting the nontriviality of  $\eta$ .

Consider now the canonical embedding of  $C$  as a plane quartic  $\Sigma$ . Our condition means, geometrically, that  $C$  has two inflection points  $P, P'$ , such that the tangent lines to these points intersect in  $Q \in C$ .

We shall show now that the (nonhyperelliptic) curves of genus 3 whose canonical image is a quartic  $\Sigma$  with the above properties are contained in a five-dimensional family, whence are special in the moduli space  $\mathcal{M}_3$  of curves of genus 3.

Let now  $p, q, p'$  be three noncollinear points in  $\mathbb{P}^2$ . The quartics in  $\mathbb{P}^2$  form a linear system of dimension 14. Imposing that a plane quartic contains the point  $q$  is one linear condition. Moreover, the condition that the line containing  $p$  and  $q$  has intersection multiplicity equal to 3 with the quartic in the point  $p$  gives three further linear conditions. Similarly for the point  $p'$ , and it is easy to see that the above seven linear conditions are independent. Therefore the linear subsystem of quartics  $\Sigma$  having two inflection points  $p, p'$ , such that the tangent lines to these points intersect in  $q \in \Sigma$ , has dimension  $14 - 3 - 3 - 1 = 7$ . The group of automorphisms of  $\mathbb{P}^2$  leaving the three points  $p, q, p'$  fixed has dimension 2 and therefore the above quartics give rise to a five-dimensional algebraic subset of  $\mathcal{M}_3$ .

Finally, if the points  $P, P', Q$  are not distinct, we have (w.l.o.g.)  $P = Q$  and a similar calculation shows that we have a family of dimension  $7 - 3 = 4$ .  $\square$

Consider now the morphism

$$\varphi_\eta (:= \varphi_{|K_C+\eta|} \times \varphi_{|K_C-\eta|}) : C \rightarrow \mathbb{P}^1 \times \mathbb{P}^1,$$

and denote by  $\Gamma \subset \mathbb{P}^1 \times \mathbb{P}^1$  the image of  $C$  under  $\varphi_\eta$ .

**Remark 2.2.**

1) Since  $\eta$  is nontrivial, either  $\Gamma$  is of bidegree  $(4, 4)$ , or  $\deg \varphi_\eta = 2$  and  $\Gamma$  is of bidegree  $(2, 2)$ . In fact,  $\deg \varphi_\eta = 4$  implies  $\eta \equiv -\eta$ .

2) We shall assume in the following that  $\varphi_\eta$  is birational, since otherwise  $C$  is either hyperelliptic (if  $\Gamma$  is singular) or  $C$  is a double cover of an elliptic curve  $\Gamma$  (branched in 4 points).

In both cases  $C$  lies in a five-dimensional subfamily of the moduli space  $\mathcal{M}_3$  of curves of genus 3.

Let  $P_1, \dots, P_m$  be the (possibly infinitely near) singular points of  $\Gamma$ , and let  $r_i$  be the multiplicity in  $P_i$  of the proper transform of  $\Gamma$ . Then, denoting by  $H_1$ , respectively  $H_2$ , the divisors of a vertical, respectively of a horizontal

line in  $\mathbb{P}^1 \times \mathbb{P}^1$ , we have that  $\Gamma \in |4H_1 + 4H_2 - \sum_{i=1}^m r_i P_i|$ . By adjunction, the canonical system of  $\Gamma$  is cut out by  $|2H_1 + 2H_2 - \sum_{i=1}^m (r_i - 1)P_i|$ , and therefore

$$4 = \deg K_C = \Gamma \cdot (2H_1 + 2H_2 - \sum_{i=1}^m (r_i - 1)P_i) = 16 - \sum_{i=1}^m r_i(r_i - 1).$$

Hence  $\sum_{i=1}^m r_i(r_i - 1) = 12$ , and we have the following possibilities:

	$m$	$(r_1, \dots, r_m)$
i)	1	(4)
ii)	2	(3,3)
iii)	4	(3,2,2,2)
iv)	6	(2,2,2,2,2,2)

We will show now that for a general curve only the last case occurs, i.e.,  $\Gamma$  has exactly 6 singular points of multiplicity 2.

We denote by  $S$  the blowup of  $\mathbb{P}^1 \times \mathbb{P}^1$  in  $P_1, \dots, P_m$ , and let  $E_i$  be the exceptional divisor of the first kind, total transform of the point  $P_i$ .

We shall first show that the first case (i.e.,  $m = 1$ ) corresponds to the case  $\eta \equiv 0$ .

**Proposition 2.3.** *Let  $\Gamma \subset \mathbb{P}^1 \times \mathbb{P}^1$  be a curve of bidegree  $(4, 4)$  having a point  $P$  of multiplicity 4, such that its normalization  $C \in |4H_1 + 4H_2 - 4E|$  has genus 3 (here,  $E$  is the exceptional divisor of the blowup of  $\mathbb{P}^1 \times \mathbb{P}^1$  in  $P$ ). Then*

$$\mathcal{O}_C(H_1) \cong \mathcal{O}_C(H_2) \cong \mathcal{O}_C(K_C).$$

In particular, if  $\Gamma = \varphi_\eta(C)$  (i.e., we are in the case  $m = 1$ ), then  $\eta \equiv 0$ .

**Remark 2.4.** Let  $\Gamma$  be as in the proposition. Then the rational map  $\mathbb{P}^1 \times \mathbb{P}^1 \dashrightarrow \mathbb{P}^2$  given by  $|H_1 + H_2 - E|$  maps  $\Gamma$  to a plane quartic. Vice versa, given a plane quartic  $C'$ , blowing up two points  $p_1, p_2 \in (\mathbb{P}^1 \times \mathbb{P}^1) \setminus C'$ , and then contracting the strict transform of the line through  $p_1, p_2$ , yields a curve  $\Gamma$  of bidegree  $(4, 4)$  having a singular point of multiplicity 4.

*Proof (of the proposition).* Let  $H_1$  be the full transform of a vertical line through  $P$ . Then there is an effective divisor  $H'_1$  on the blowup  $S$  of  $\mathbb{P}^1 \times \mathbb{P}^1$  in  $P$  such that  $H_1 \equiv H'_1 + E$ . Since  $H_1 \cdot C = E \cdot C = 4$ ,  $H'_1$  is disjoint from  $C$ , whence  $\mathcal{O}_C(H_1) \cong \mathcal{O}_C(E)$ . The same argument for a horizontal line through  $P$  obviously shows that  $\mathcal{O}_C(H_2) \cong \mathcal{O}_C(E)$ . If  $h^0(C, \mathcal{O}_C(H_1)) = 2$ , then the two projections  $p_1, p_2 : \Gamma \rightarrow \mathbb{P}^1$  induce the same linear series on  $C$ , thus  $\varphi_{|H_1|}$  and  $\varphi_{|H_2|}$  are related by a projectivity of  $\mathbb{P}^1$ , hence  $\Gamma$  is the graph of a projectivity of  $\mathbb{P}^1$ , contradicting the fact that the bidegree of  $\Gamma$  is  $(4, 4)$ .

Therefore we have a smooth curve of genus 3 and a divisor of degree 4 such that  $h^0(C, \mathcal{O}_C(H_1)) \geq 3$ . Hence  $h^0(C, \mathcal{O}_C(K_C - H_1)) \geq 1$ , which implies that  $K_C \equiv H_1$ . Analogously,  $K_C \equiv H_2$ .  $\square$

The next step is to show that for a general curve  $C$  of genus 3, cases ii) and iii) do not occur. In fact, we show:

**Lemma 2.5.** *Let  $C$  be a curve of genus 3 and  $\eta \in \text{Pic}^0(C)_3 \setminus \{0\}$  such that  $\varphi_\eta$  is birational and the image  $\varphi_\eta(C) = \Gamma$  has a singular point  $P$  of multiplicity 3. Then  $C$  belongs to an algebraic subset of  $\mathcal{M}_3$  of dimension  $\leq 5$ .*

*Proof.* Let  $S$  again be the blow up of  $\mathbb{P}^1 \times \mathbb{P}^1$  in  $P$ , and denote by  $E$  the exceptional divisor. Then  $\mathcal{O}_C(E)$  has degree 3 and arguing as in Proposition 2.3, we see that there are points  $Q_1, Q_2$  on  $C$  such that  $\mathcal{O}_C(H_i) \cong \mathcal{O}_C(Q_i + E)$ . Therefore  $\mathcal{O}_C(Q_2 - Q_1) \cong \mathcal{O}_C(H_2 - H_1) \cong \mathcal{O}_C(K_C - \eta - (K_C + \eta)) \cong \mathcal{O}_C(\eta)$ , whence  $3Q_1 \equiv 3Q_2, Q_1 \neq Q_2$ . This implies that there is a morphism  $f : C \rightarrow \mathbb{P}^1$  of degree 3, having double ramification in  $Q_1$  and  $Q_2$ . By Hurwitz' formula the degree of the ramification divisor  $R$  is 10 and since  $R \geq Q_1 + Q_2$   $f$  has at most eight branch points in  $\mathbb{P}^1$ . Fixing three of these points to be  $\infty, 0, 1$ , we obtain (by Riemann's existence theorem) a finite number of families of dimension at most 5.  $\square$

From now on, we shall make the following

**Assumptions.**

$C$  is a curve of genus 3,  $\eta \in \text{Pic}^0(C)_3 \setminus \{0\}$ , and

- 1)  $|K_C + \eta|$  and  $|K_C - \eta|$  are base point free;
- 2)  $\varphi_\eta : C \rightarrow \Gamma \subset \mathbb{P}^1 \times \mathbb{P}^1$  is birational;
- 3)  $\Gamma \in |4H_1 + 4H_2|$  has only double points as singularities (possibly infinitely near).

**Remark 2.6.** By the considerations so far, we know that a general curve of genus 3 fulfills the assumptions for any  $\eta \in \text{Pic}^0(C)_3 \setminus \{0\}$ .

We use the notation introduced above: we have  $\pi : S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$  and  $C \subset S, C \in |4H_1 + 4H_2 - 2 \sum_{i=1}^6 E_i|$ .

**Remark 2.7.** Since  $S$  is a regular surface, we have an easy case of Ramanujan's vanishing theorem: if  $D$  is an effective divisor which is 1-connected (i.e., for every decomposition  $D = A + B$  with  $A, B > 0$ , we have  $A \cdot B \geq 1$ ), then  $H^1(S, \mathcal{O}_S(-D)) = 0$ .

This follows immediately from Ramanujan's lemma ensuring  $H^0(D, \mathcal{O}_D) = k$ , and from the long exact cohomology sequence associated to

$$0 \rightarrow \mathcal{O}_S(-D) \rightarrow \mathcal{O}_S \rightarrow \mathcal{O}_D \rightarrow 0.$$

In most of our applications we shall show that  $D$  is linearly equivalent to a reduced and connected divisor (this is a stronger property than 1-connectedness).

We know now that  $\mathcal{O}_C(H_1 + H_2) \cong \mathcal{O}_C(2K_C)$ , i.e.,

$$\mathcal{O}_C \cong \mathcal{O}_C(3H_1 + 3H_2 - \sum_{i=1}^6 2E_i).$$

Since  $h^1(S, \mathcal{O}_S(-H_1 - H_2)) = 0$ , the exact sequence

$$\begin{aligned} 0 \rightarrow \mathcal{O}_S(-H_1 - H_2) \rightarrow \mathcal{O}_S(3H_1 + 3H_2 - \sum_{i=1}^6 2E_i) \\ \rightarrow \mathcal{O}_C(3H_1 + 3H_2 - \sum_{i=1}^6 2E_i) \cong \mathcal{O}_C \rightarrow 0, \quad (1) \end{aligned}$$

is exact on global sections.

In particular,  $h^0(S, \mathcal{O}_S(3H_1 + 3H_2 - \sum_{i=1}^6 2E_i)) = 1$ . We denote by  $G$  the unique divisor in the linear system  $|3H_1 + 3H_2 - \sum_{i=1}^6 2E_i|$ . Note that  $C \cap G = \emptyset$  (since  $\mathcal{O}_C \cong \mathcal{O}_C(G)$ ).

**Remark 2.8.** There is no effective divisor  $\tilde{G}$  on  $S$  such that  $G = \tilde{G} + E_i$ , since otherwise  $\tilde{G} \cdot C = -2$ , contradicting that  $\tilde{G}$  and  $C$  have no common component.

This means that  $G + 2 \sum_{i=1}^6 E_i$  is the total transform of a curve  $G' \subset \mathbb{P}^1 \times \mathbb{P}^1$  of bidegree  $(3,3)$ .

**Lemma 2.9.**  $h^0(G, \mathcal{O}_G) = 3$ ,  $h^1(G, \mathcal{O}_G) = 0$ .

*Proof.* Consider the exact sequence

$$0 \rightarrow \mathcal{O}_S(K_S) \rightarrow \mathcal{O}_S(K_S + G) \rightarrow \mathcal{O}_G(K_G) \rightarrow 0.$$

Since  $h^0(S, \mathcal{O}_S(K_S)) = h^1(S, \mathcal{O}_S(K_S)) = 0$ , we get

$$h^0(S, \mathcal{O}_S(K_S + G)) = h^0(G, \mathcal{O}_G(K_G)).$$

Now,  $K_S + G \equiv H_1 + H_2 - \sum_{i=1}^6 E_i$ , therefore  $(K_S + G) \cdot C = -4$ , whence  $h^0(G, \mathcal{O}_G(K_G)) \cong h^0(S, \mathcal{O}_S(K_S + G)) = 0$ .

Moreover,  $h^1(G, \mathcal{O}_G(K_G)) = h^1(S, \mathcal{O}_S(K_S + G)) + 1$ , and by Riemann–Roch we infer that, since  $h^1(S, \mathcal{O}_S(K_S + G)) = h^0(S, \mathcal{O}_S(-G)) = 0$ , that  $h^1(S, \mathcal{O}_S(K_S + G)) = 2$ .  $\square$

We will show now that  $G$  is reduced, hence, by the above lemma, we shall obtain that  $G$  has exactly three connected components.

**Proposition 2.10.**  $G$  is reduced.

*Proof.* By Remark 2.8 it is sufficient to show that the image of  $G$  in  $\mathbb{P}^1 \times \mathbb{P}^1$ , which we denoted by  $G'$ , is reduced.

Assume that there is an effective divisor  $A'$  on  $\mathbb{P}^1 \times \mathbb{P}^1$  such that  $3A' \leq G'$ . We clearly have  $A' \cap \Gamma \neq \emptyset$  but, after blowing up the six points  $P_1, \dots, P_6$ , the strict transforms of  $A'$  and of  $\Gamma$  are disjoint, whence  $A'$  and  $G'$  must intersect in one of the  $P_i$ 's, contradicting Remark 2.8.

If  $G'$  is not reduced, we may uniquely write  $G' = 2D_1 + D_2$  with  $D_1, D_2$  reduced and having no common component. Up to exchanging the factors of  $\mathbb{P}^1 \times \mathbb{P}^1$ , we have the following two possibilities:

- i)  $D_1 \in |H_1 + H_2|$ ;
- ii)  $D_1 \in |H_1|$ .

In the first case also  $D_2 \in |H_1 + H_2|$  and its strict transform is disjoint from  $C$ . Remark 2.8 implies that  $D_2$  meets  $\Gamma$  in points which do not belong to  $D_1$ , whence  $D_2$  has double points where it intersects  $\Gamma$ . Since  $D_2 \cdot \Gamma = 8$  we see that  $D_2$  has two points of multiplicity 2, a contradiction ( $D_2$  has bidegree  $(1, 1)$ ).

Assume now that  $D_1 \in |H_1|$ . Then, since  $2D_1 \cdot \Gamma = 8$ ,  $D_1$  contains four of the  $P_i$ 's and  $D_2$  passes through the other two, say  $P_1, P_2$ . This implies that for the strict transform of  $D_2$  we have:  $\hat{D}_2 \equiv H_1 + 3H_2 - 2E_1 - 2E_2$ , whence  $\hat{D}_2 \cdot C = 8$ , a contradiction.  $\square$

We write now  $G = G_1 + G_2 + G_3$  as a sum of its connected components, and accordingly  $G' = G'_1 + G'_2 + G'_3$ .

**Lemma 2.11.** *The bidegree of  $G'_j$  ( $j \in \{1, 2, 3\}$ ) is  $(1, 1)$ . Up to renumbering  $P_1, \dots, P_6$  we have*

$$G'_1 \cap G'_2 = \{P_1, P_2\}, \quad G'_1 \cap G'_3 = \{P_3, P_4\} \quad \text{and} \quad G'_2 \cap G'_3 = \{P_5, P_6\}.$$

*More precisely,*

$$\begin{aligned} G_1 &\in |H_1 + H_2 - E_1 - E_2 - E_3 - E_4|, \\ G_2 &\in |H_1 + H_2 - E_1 - E_2 - E_5 - E_6|, \\ G_3 &\in |H_1 + H_2 - E_3 - E_4 - E_5 - E_6|. \end{aligned}$$

*Proof.* Assume for instance that  $G'_1$  has bidegree  $(1, 0)$ . Then there is a subset  $I \subset \{1, \dots, 6\}$  such that  $G_1 = H_1 - \sum_{i \in I} E_i$ . Since  $G_1 \cdot C = 0$ , it follows that  $|I| = 2$ . But then  $G_1 \cdot (G - G_1) = 1$ , contradicting the fact that  $G_1$  is a connected component of  $G$ .

Let  $(a_j, b_j)$  be the bidegree of  $G_j$ : then  $a_j, b_j \geq 1$  since a reduced divisor of bidegree  $(m, 0)$  is not connected for  $m \geq 2$ . Since  $\sum a_j = \sum b_j = 3$ , it follows that  $a_j = b_j = 1$ .

Writing now  $G_j \equiv H_1 + H_2 - \sum_{i=1}^6 \mu(j, i) E_i$  we obtain

$$\sum_{j=1}^3 \mu(j, i) = 2, \quad \sum_{i=1}^6 \mu(j, i) = 4, \quad \sum_{i=1}^6 \mu(k, i) \mu(j, i) = 2$$

since  $G_j \cdot C = 0$  and  $G_k \cdot G_j = 0$ . We get the second claim of the lemma provided that we show:  $\mu(j, i) = 1, \forall i, j$ .

The first formula shows that if  $\mu(j, i) \geq 2$ , then  $\mu(j, i) = 2$  and  $\mu(h, i) = 0$  for  $h \neq j$ . Hence the second formula shows that

$$\sum_{h, k \neq j} \sum_{i=1}^6 \mu(j, i)(\mu(h, i) + \mu(k, i)) \leq 2,$$

contradicting the third formula.  $\square$

In the remaining part of the section we will show that each  $G'_i$  consists of the union of a vertical and a horizontal line in  $\mathbb{P}^1 \times \mathbb{P}^1$ .

Since  $\mathcal{O}_C(K_C + \eta) \cong \mathcal{O}_C(H_1)$  and  $\mathcal{O}_C(K_C - \eta) \cong \mathcal{O}_C(H_2)$  we get:

$$\mathcal{O}_C(2H_2 - H_1) \cong \mathcal{O}_C(K_C) \cong \mathcal{O}_C(2H_1 + 2H_2 - \sum_{i=1}^6 E_i),$$

whence the exact sequence

$$\begin{aligned} 0 \rightarrow \mathcal{O}_S(-H_1 - 4H_2 + \sum_{i=1}^6 E_i) \rightarrow \mathcal{O}_S(3H_1 - \sum_{i=1}^6 E_i) \\ \rightarrow \mathcal{O}_C(3H_1 - \sum_{i=1}^6 E_i) \cong \mathcal{O}_C \rightarrow 0. \quad (2) \end{aligned}$$

**Proposition 2.12.**  $H^1(S, \mathcal{O}_S(-(H_1 + 4H_2 - \sum_{i=1}^6 E_i))) = 0$ .

*Proof.* The result follows immediately by Ramanujam's vanishing theorem, but we can also give an elementary proof using Remark 2.7.

It suffices to show that the linear system  $|H_1 + 4H_2 - \sum_{i=1}^6 E_i|$  contains a reduced and connected divisor.

Note that  $G_1 + |3H_2 - E_5 - E_6| \subset |H_1 + 4H_2 - \sum_{i=1}^6 E_i|$ , and that  $|3H_2 - E_5 - E_6|$  contains  $|H_2 - E_5 - E_6| + |2H_2|$ , if there is a line  $H_2$  containing  $P_1, P_2$ , else it contains  $|H_2 - E_5| + |H_2 - E_6| + |H_2|$ . Since

$$G_1 \cdot H_2 = G_1 \cdot (H_2 - E_5) = G_1 \cdot (H_2 - E_6) = G_1 \cdot (H_2 - E_5 - E_6) = 1,$$

we have obtained in both cases a reduced and connected divisor.  $\square$

**Remark 2.13.** One can indeed show, using

$$G_2 + |3H_2 - E_3 - E_4| \subset |H_1 + 4H_2 - \sum_{i=1}^6 E_i|,$$

$$G_3 + |3H_2 - E_1 - E_2| \subset |H_1 + 4H_2 - \sum_{i=1}^6 E_i|,$$

that  $|H_1 + 4H_2 - \sum_{i=1}^6 E_i|$  has no fixed part, and then by Bertini's theorem, since  $(H_1 + 4H_2 - \sum_{i=1}^6 E_i)^2 = 8 - 6 = 2 > 0$ , a general curve in  $|H_1 + 4H_2 - \sum_{i=1}^6 E_i|$  is irreducible.

In view of Proposition 2.12 the above exact sequence (and the one where the roles of  $H_1, H_2$  are exchanged) yields the following:

**Corollary 2.14.** *For  $j \in \{1, 2\}$  there is exactly one divisor  $N_j \in |3H_j - \sum_{i=1}^6 E_i|$ .*

By the uniqueness of  $G$ , we see that  $G = N_1 + N_2$ . Denote by  $N'_j$  the curve in  $\mathbb{P}^1 \times \mathbb{P}^1$  whose total transform is  $N_j + \sum_{i=1}^6 E_i$ .

We have just seen that  $G$  is the strict transform of three vertical and three horizontal lines in  $\mathbb{P}^1 \times \mathbb{P}^1$ . Hence each connected component  $G_j$  splits into the strict transform of a vertical and a horizontal line. Since  $G$  is reduced, the lines are distinct (and there are no infinitely near points).

We can choose coordinates in  $\mathbb{P}^1 \times \mathbb{P}^1$  such that  $G'_1 = (\{\infty\} \times \mathbb{P}^1) \cup (\mathbb{P}^1 \times \{\infty\})$ ,  $G'_2 = (\{0\} \times \mathbb{P}^1) \cup (\mathbb{P}^1 \times \{0\})$ , and  $G'_3 = (\{1\} \times \mathbb{P}^1) \cup (\mathbb{P}^1 \times \{1\})$ .

**Remark 2.15.** The points  $P_1, \dots, P_6$  are then the points of the set  $\mathcal{S}$  previously defined.

Conversely, consider in  $\mathbb{P}^1 \times \mathbb{P}^1$  the set

$$\mathcal{S} := \{P_1, \dots, P_6\} = (\{\infty, 0, 1\} \times \{\infty, 0, 1\}) \setminus \{(\infty, \infty), (0, 0), (1, 1)\}.$$

Let  $\pi : S \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$  be the blowup of the points  $P_1, \dots, P_6$  and suppose (denoting the exceptional divisor over  $P_i$  by  $E_i$ ) that  $C \in |4H_1 + 4H_2 - \sum 2E_i|$  is a smooth curve. Then  $C$  has genus 3,  $\mathcal{O}_C(3H_1) \cong \mathcal{O}_C(\sum E_i) \cong \mathcal{O}_C(3H_2)$ . Setting  $\mathcal{O}_C(\eta) := \mathcal{O}_C(H_2 - H_1)$ , we obtain therefore  $3\eta \equiv 0$ .

It remains to show that  $\mathcal{O}_C(\eta)$  is not isomorphic to  $\mathcal{O}_C$ .

**Lemma 2.16.**  *$\eta$  is not trivial.*

*Proof.* Assume  $\eta \equiv 0$ . Then  $\mathcal{O}_C(H_1) \cong \mathcal{O}_C(H_2)$  and, since  $\Gamma$  has bidegree  $(4, 4)$ , we argue as in the proof of Proposition 2.3 that  $h^0(\mathcal{O}_C(H_i)) \geq 3$ , whence  $\mathcal{O}_C(H_i) \cong \mathcal{O}_C(K_C)$ .

The same argument shows that the two projections of  $\Gamma$  to  $\mathbb{P}^1$  yield two different pencils in the canonical system. It follows that the canonical map of  $C$  factors as the composition of  $C \rightarrow \Gamma \subset \mathbb{P}^1 \times \mathbb{P}^1$  with the rational map  $\psi : \mathbb{P}^1 \times \mathbb{P}^1 \dashrightarrow \mathbb{P}^2$  which blows up one point and contracts the vertical and horizontal line through it. Since  $\Gamma$  has six singular points, the canonical map sends  $C$  birationally onto a singular quartic curve in  $\mathbb{P}^2$ , contradiction.  $\square$

### 3 Rationality of the moduli spaces

In this section we will use the geometric description of pairs  $(C, \eta)$ , where  $C$  is a genus 3 curve and  $\eta$  a nontrivial 3-torsion divisor class, and study the birational structure of their moduli space.

More precisely, we shall prove the following:

**Theorem 3.1.**

1) *The moduli space*

$$\mathcal{M}_{3,\eta} := \{(C, \eta) : C \text{ a general curve of genus 3, } \eta \in \text{Pic}^0(C)_3 \setminus \{0\}\}$$

is rational.

2) *The moduli space*

$$\mathcal{M}_{3,\langle\eta\rangle} := \{(C, \langle\eta\rangle) : C \text{ a general curve of genus 3, } \langle\eta\rangle \cong \mathbb{Z}/3\mathbb{Z} \subset \text{Pic}^0(C)\}$$

is rational.

**Remark 3.2.** By the result of the previous section, and since any automorphism of  $\mathbb{P}^1 \times \mathbb{P}^1$  which sends the set  $\mathcal{S}$  to itself belongs to the group  $\mathfrak{S}_3 \times \mathbb{Z}/2\mathbb{Z}$ , it follows immediately that, if we set

$$V(4, 4, -\mathcal{S}) := H^0(\mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(4, 4)(-2 \sum_{i \neq j, i, j \in \{\infty, 0, 1\}} P_{ij})),$$

then  $\mathcal{M}_{3,\eta}$  is birational to  $\mathbb{P}(V(4, 4, -\mathcal{S}))/\mathfrak{S}_3$ , while  $\mathcal{M}_{3,\langle\eta\rangle}$  is birational to  $\mathbb{P}(V(4, 4, -\mathcal{S}))/(\mathfrak{S}_3 \times \mathbb{Z}/2\mathbb{Z})$ , where the generator  $\sigma$  of  $\mathbb{Z}/2\mathbb{Z}$  acts by coordinate exchange on  $\mathbb{P}^1 \times \mathbb{P}^1$ , whence on  $V(4, 4, -\mathcal{S})$ .

In order to prove the above theorem we will explicitly calculate the respective subfields of invariants of the function field of  $\mathbb{P}(V(4, 4, -\mathcal{S}))$  and show that they are generated by purely transcendental elements.

Consider the following polynomials of  $\mathbb{V} := V(4, 4, -\mathcal{S})$ , which are invariant under the action of  $\mathbb{Z}/2\mathbb{Z}$ :

$$f_{11}(x, y) := x_0^2 x_1^2 y_0^2 y_1^2,$$

$$f_{\infty\infty}(x, y) := x_1^2 (x_1 - x_0)^2 y_0^2 (y_1 - y_0)^2,$$

$$f_{00}(x, y) := x_0^2 (x_1 - x_0)^2 y_0^2 (y_1 - y_0)^2.$$

Let  $ev : \mathbb{V} \rightarrow \bigoplus_{i=0,1,\infty} k_{(i,i)} =: \mathbb{W}$  be the evaluation map at the three standard diagonal points, i.e.,  $ev(f) := (f(0, 0), f(1, 1), f(\infty, \infty))$ .

Since  $f_{ii}(j, j) = \delta_{i,j}$ , we can decompose  $\mathbb{V} \cong \mathbb{U} \oplus \mathbb{W}$ , where  $\mathbb{U} := \ker(ev)$  and  $\mathbb{W}$  is the subspace generated by the three above polynomials, which is easily shown to be an invariant subspace using the following formulae (\*):

- (1, 3) exchanges  $x_0$  with  $x_1$ , multiplies  $x_1 - x_0$  by  $-1$ ,
- (1, 2) exchanges  $x_1 - x_0$  with  $x_1$ , multiplies  $x_0$  by  $-1$ ,
- (2, 3) exchanges  $x_0 - x_1$  with  $x_0$ , multiplies  $x_1$  by  $-1$ .

In fact, ‘the permutation’ representation  $\mathbb{W}$  of the symmetric group splits (in characteristic  $\neq 3$ ) as the direct sum of the trivial representation (generated by  $e_1 + e_2 + e_3$ ) and the standard representation, generated by  $x_0 := e_1 - e_2, x_1 := -e_2 + e_3$ , which is isomorphic to the representation on  $V(1) := H^0(\mathcal{O}_{\mathbb{P}^1}(1))$ .

Note that  $\mathbb{U} = x_0x_1(x_1 - x_0)y_0y_1(y_0 - y_1)H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(1, 1))$ . We write

$$V(1, 1) := H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(1, 1)) = V(1) \otimes V(1),$$

where  $V(1) := H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1))$ , is as above the standard representation of  $\mathfrak{S}_3$ .

Now  $V(1) \otimes V(1)$  splits, in characteristic  $\neq 2, 3$ , as a sum of irreducible representations  $\mathbb{I} \oplus \mathfrak{A} \oplus W$ , where the three factors are the *trivial*, the *alternating* and the *standard* representation of  $\mathfrak{S}_3$ .

Explicitly,  $V(1) \otimes V(1) \cong \wedge^2(V(1)) \oplus \text{Sym}^2(V(1))$ , and  $\text{Sym}^2(V(1))$  is isomorphic to  $\mathbb{W}$ , since it has the following basis:  $x_0y_0, x_1y_1, (x_1 - x_0)(y_1 - y_0)$ . We observe for further use that  $\mathbb{Z}/2\mathbb{Z}$  acts as the identity on  $\text{Sym}^2(V(1))$ , while it acts on  $\wedge^2(V(1))$ , spanned by  $x_1y_0 - x_0y_1$  via multiplication by  $-1$ .

We have thus seen

**Lemma 3.3.** *If  $\text{char}(k) \neq 2, 3$ , then the  $\mathfrak{S}_3$ -module  $\mathbb{V}$  splits as a sum of irreducible modules as follows:*

$$\mathbb{V} \cong 2(\mathbb{I} \oplus W) \oplus \mathfrak{A}.$$

Choose now a basis  $(z_1, z_2, z_3, w_1, w_2, w_3, u)$  of  $\mathbb{V}$ , such that the  $z_i$ ’s and the  $w_i$ ’s are respective bases of  $\mathbb{I} \oplus W$  consisting of eigenvectors of  $\sigma = (123)$ , and  $u$  is a basis element of  $\mathfrak{A}$ . The eigenvalue of  $z_i, w_i$  with respect to  $\sigma = (123)$  is  $\epsilon^{i-1}$ ,  $u$  is  $\sigma$ -invariant and  $(12)(u) = -u$ .

Note that if  $(v_1, v_2, v_3)$  is a basis of  $\mathbb{I} \oplus W$ , such that  $\mathfrak{S}_3$  acts by permutation of the indices, then  $z_1 = v_1 + v_2 + v_3, z_2 = v_1 + \epsilon v_2 + \epsilon^2 v_3, z_3 = v_1 + \epsilon^2 v_2 + \epsilon v_3$ , where  $\epsilon$  is a primitive third root of unity.

**Remark 3.4.** Since  $z_1, w_1$  are  $\mathfrak{S}_3$ -invariant,  $\mathbb{P}(V(4, 4, -\mathcal{S}))/\mathfrak{S}_3$  is birational to a product of the affine line with  $\text{Spec}(k[z_2, z_3, w_2, w_3, u]^{\mathfrak{S}_3})$ , and therefore it suffices to compute  $k[z_2, z_3, w_2, w_3, u]^{\mathfrak{S}_3}$ .

Part 1 of the theorem follows now from the following

**Proposition 3.5.** *Let  $T := z_2z_3, S := z_2^3, A_1 := z_2w_3 + z_3w_2, A_2 := z_2w_3 - z_3w_2$ . Then*

$$k(z_2, z_3, w_2, w_3, u)^{\mathfrak{S}_3} \supset K := k(A_1, T, S + \frac{T^3}{S}, u(S - \frac{T^3}{S}), A_2(S - \frac{T^3}{S})),$$

and  $[k(z_2, z_3, w_2, w_3, u) : K] = 6$ , hence  $k(z_2, z_3, w_2, w_3, u)^{\mathfrak{S}_3} = K$ .

*Proof.* We first calculate the invariants under the action of  $\sigma = (123)$ , i.e.,  $k(z_2, z_3, w_2, w_3, u)^\sigma$ . Note that  $u, z_2z_3, z_2w_3, w_2w_3, z_2^3$  are  $\sigma$ -invariant, and  $[k(z_2, z_3, w_2, w_3, u) : k(u, z_2z_3, z_2w_3, w_2w_3, z_2^3)] = 3$ . In particular,

$$k(z_2, z_3, w_2, w_3, u)^\sigma = k(u, z_2z_3, z_2w_3, w_2w_3, z_2^3) =: L.$$

Now, we calculate  $L^\tau$ , with  $\tau = (12)$ . Observe that  $L = k(T, A_1, A_2, S, u)$ . Since  $\tau(z_2) = \epsilon z_3, \tau(z_3) = \epsilon^2 z_2$  (and similarly for  $w_2, w_3$ ), we see that  $\tau(A_1) = A_1$  and  $\tau(T) = T$ . On the other hand,  $\tau(u) = -u, \tau(A_2) = -A_2, \tau(S) = \frac{T^3}{S}$ .

**Claim.**

$$L^\tau = k(A_1, T, S + \frac{T^3}{S}, u(S - \frac{T^3}{S}), A_2(S - \frac{T^3}{S})) =: E.$$

*Proof of the Claim.* Obviously  $A_1, T, S + \frac{T^3}{S}, u(S - \frac{T^3}{S}), A_2(S - \frac{T^3}{S})$  are invariant under  $\tau$ , whence  $E \subset L^\tau$ . Since  $L = E(S)$ , using the equation  $B \cdot S = S^2 + T^3$  for  $B := S + \frac{T^3}{S}$ , we get that  $[E(S) : E] \leq 2$ .

This proves the claim and the proposition.  $\square$

It remains to show the second part of the theorem. We denote by  $\tau'$  the involution on  $k(z_1, z_2, z_3, w_1, w_2, w_3, u)$  induced by the involution  $(x, y) \mapsto (y, x)$  on  $\mathbb{P}^1 \times \mathbb{P}^1$ . It suffices to prove the following

**Proposition 3.6.**  $E^{\tau'} = k(A_1, T, S + \frac{T^3}{S}, (u(S - \frac{T^3}{S}))^2, A_2(S - \frac{T^3}{S}))$ .

*Proof.* Since  $[E : k(A_1, T, S + \frac{T^3}{S}, (u(S - \frac{T^3}{S}))^2, A_2(S - \frac{T^3}{S}))] \leq 2$ , it suffices to show that the five generators  $A_1, T, S + \frac{T^3}{S}, (u(S - \frac{T^3}{S}))^2, A_2(S - \frac{T^3}{S})$  are  $\tau'$ -invariant. This will now be proven in Lemma 3.7.  $\square$

**Lemma 3.7.**  $\tau'$  acts as the identity on  $(z_1, z_2, z_3, w_1, w_2, w_3)$  and sends  $u \mapsto -u$ .

*Proof.* We note first that  $\tau'$  acts trivially on the subspace  $\mathbb{W}$  generated by the polynomials  $f_{ii}$ .

Since  $\mathbb{U} = x_0x_1(x_1 - x_0)y_0y_1(y_1 - y_0)V(1, 1)$  and  $x_0x_1(x_1 - x_0)y_0y_1(y_1 - y_0)$  is invariant under exchanging  $x$  and  $y$ , it suffices to recall that the action of  $\tau'$  on  $V(1, 1) = V(1) \otimes V(1)$  is the identity on the subspace  $Sym^2(V(1))$ , while the action on the alternating  $\mathfrak{S}_3$ -submodule  $\mathfrak{A}$  sends the generator  $u$  to  $-u$ .  $\square$

### 3.1 $\text{Char}(k) = 3$

In order to prove Theorem 3.1 if the characteristic of  $k$  is equal to 3, we describe the  $\mathfrak{S}_3$ -module  $\mathbb{V}$  as follows:

$$\mathbb{V} \cong 2\mathbb{W} \oplus \mathfrak{A},$$

where  $\mathbb{W}$  is the (three-dimensional) permutation representation of  $\mathfrak{S}_3$ .

Let now  $z_1, z_2, z_3, w_1, w_2, w_3, u$  be a basis of  $\mathbb{V}$  such that the action of  $\mathfrak{S}_3$  permutes  $z_1, z_2, z_3$  (resp.  $w_1, w_2, w_3$ ), and  $(123) : u \mapsto u$ ,  $(12)u \mapsto -u$ . Then we have:

**Proposition 3.8.** *The  $\mathfrak{S}_3$ -invariant subfield  $k(\mathbb{V})^{\mathfrak{S}_3}$  of  $k(\mathbb{V})$  is rational.*

*More precisely, the seven  $\mathfrak{S}_3$ -invariant functions*

$$\sigma_1 = z_1 + z_2 + z_3,$$

$$\sigma_2 = z_1z_2 + z_1z_3 + z_2z_3,$$

$$\sigma_3 = z_1z_2z_3,$$

$$\sigma_4 = z_1w_1 + z_2w_2 + z_3w_3,$$

$$\sigma_5 = w_1z_2z_3 + w_2z_1z_3 + w_3z_1z_2,$$

$$\sigma_6 = w_1(z_2 + z_3) + w_2(z_1 + z_3) + w_3(z_1 + z_2),$$

$$\sigma_7 = u(z_1(w_2 - w_3) + z_2(w_3 - w_1) + z_3(w_1 - w_2))$$

form a basis of the purely transcendental extension over  $k$ .

*Proof.*  $\sigma_1, \dots, \sigma_7$  determine a morphism  $\psi : \mathbb{V} \rightarrow \mathbb{A}_k^7$ . We will show that  $\psi$  induces a birational map  $\bar{\psi} : \mathbb{V}/\mathfrak{S}_3 \rightarrow \mathbb{A}_k^7$ , i.e., for a Zariski open set of  $\mathbb{V}$  we have:  $\psi(x) = \psi(x')$  if and only if there is a  $\tau \in \mathfrak{S}_3$  such that  $x = \tau(x')$ . By [Cat, Lemma 2.2] we can assume (after acting on  $x$  with a suitable  $\tau \in \mathfrak{S}_3$ ) that  $x_i = x'_i$  for  $1 \leq i \leq 6$ , and we know that (setting  $u := x_7$ ,  $u' := x'_7$ )

$$\begin{aligned} u(x_1(x_5 - x_6) + x_2(x_6 - x_4) + x_3(x_4 - x_5)) = \\ u'(x_1(x_5 - x_6) + x_2(x_6 - x_4) + x_3(x_4 - x_5)). \end{aligned}$$

Therefore, if  $B(x_1, \dots, x_6) := x_1(x_5 - x_6) + x_2(x_6 - x_4) + x_3(x_4 - x_5) \neq 0$ , this implies that  $u = u'$ .  $\square$

Therefore, we have shown part 1 of Theorem 3.1.

We denote again by  $\tau'$  the involution on  $k(z_1, z_2, z_3, w_1, w_2, w_3, u)$  induced by the involution  $(x, y) \mapsto (y, x)$  on  $\mathbb{P}^1 \times \mathbb{P}^1$ . In order to prove part 2 of Theorem 3.1, it suffices to observe that  $\sigma_1, \dots, \sigma_6, \sigma_7^2$  are invariant under  $\tau'$  and  $[k(\sigma_1, \dots, \sigma_7) : k(\sigma_1, \dots, \sigma_7^2)] \leq 2$ , whence  $(k(\mathbb{V})^{\mathfrak{S}_3})^{(\mathbb{Z}/2\mathbb{Z})} = k(\sigma_1, \dots, \sigma_7^2)$ . This proves Theorem 3.1.

### 3.2 $\text{Char}(k) = 2$

Let  $k$  be an algebraically closed field of characteristic 2. Then we can describe the  $\mathfrak{S}_3$ -module  $\mathbb{V}$  as follows:

$$\mathbb{V} \cong \mathbb{W} \oplus V(1, 1),$$

where  $\mathbb{W}$  is the (three-dimensional) permutation representation of  $\mathfrak{S}_3$ . We denote a basis of  $\mathbb{W}$  by  $z_1, z_2, z_3$ . As in the beginning of the chapter,  $V(1, 1) = H^0(\mathbb{P}^1 \times \mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1 \times \mathbb{P}^1}(1, 1))$ . We choose the following basis of  $V(1, 1)$ :  $w_1 := x_1 y_1$ ,  $w_2 := (x_0 + x_1)(y_0 + y_1)$ ,  $w_3 := x_0 y_0$ ,  $w := x_0 y_1$ . Then  $\mathfrak{S}_3$  acts on  $w_1, w_2, w_3$  by permutation of the indices and

$$(1, 2) : w \mapsto w + w_3,$$

$$(1, 2, 3) : w \mapsto w + w_2 + w_3.$$

Let  $\epsilon \in k$  be a nontrivial third root of unity. Then Theorem 3.1 (in characteristic 2) follows from the following result:

**Proposition 3.9.** *Let  $k$  be an algebraically closed field of characteristic 2. Let  $\sigma_1, \dots, \sigma_6$  be as defined in (3.6) and set*

$$\begin{aligned} v &:= (w + w_2)(w_1 + \epsilon w_2 + \epsilon^2 w_3) + (w + w_1 + w_3)(w_1 + \epsilon^2 w_2 + \epsilon w_3), \\ t &:= (w + w_2)(w + w_1 + w_3). \end{aligned}$$

Then

$$1) \quad k(z_1, z_2, z_3, w_1, w_2, w_3, w)^{\mathfrak{S}_3} = k(\sigma_1, \dots, \sigma_6, v);$$

$$2) \quad k(z_1, z_2, z_3, w_1, w_2, w_3, w)^{\mathfrak{S}_3 \times \mathbb{Z}/2\mathbb{Z}} = k(\sigma_1, \dots, \sigma_6, t).$$

In particular, the respective invariant subfields of  $k(\mathbb{V})$  are generated by purely transcendental elements, and this proves Theorem 3.1.

*Proof (of Proposition 3.9).* 2) We observe that  $\mathbb{Z}/2\mathbb{Z}$  ( $x_i \mapsto y_i$ ) acts trivially on  $z_1, z_2, z_3, w_1, w_2, w_3$  and maps  $w$  to  $w + w_1 + w_2 + w_3$ . It is now easy to see that  $t$  is invariant under the action of  $\mathfrak{S}_3 \times \mathbb{Z}/2\mathbb{Z}$ . Therefore  $k(\sigma_1, \dots, \sigma_6, t) \subset K := k(z_1, z_2, z_3, w_1, w_2, w_3, w)^{\mathfrak{S}_3 \times \mathbb{Z}/2\mathbb{Z}}$ . By [Cat, Lemma 2.8],  $[k(z_1, z_2, z_3, w_1, w_2, w_3, t) : k(\sigma_1, \dots, \sigma_6, t)] = 6$ , and obviously,  $[k(z_1, z_2, z_3, w_1, w_2, w_3, w) : k(z_1, z_2, z_3, w_1, w_2, w_3, t)] = 2$ . Therefore  $[k(z_1, z_2, z_3, w_1, w_2, w_3, w) : k(\sigma_1, \dots, \sigma_6, t)] = 12$ , whence  $K = k(\sigma_1, \dots, \sigma_6, t)$ .

1) Note that for  $W_2 := w_1 + \epsilon w_2 + \epsilon^2 w_3$ ,  $W_3 := w_1 + \epsilon^2 w_2 + \epsilon w_3$ , we have:  $W_2^3$  and  $W_3^3$  are invariant under  $(1, 2, 3)$  and are exchanged under  $(1, 2)$ . Therefore  $v$  is invariant under the action of  $\mathfrak{S}_3$  and we have seen that  $k(\sigma_1, \dots, \sigma_6, v) \subset L := k(z_1, z_2, z_3, w_1, w_2, w_3, w)^{\mathfrak{S}_3}$ , in particular  $[k(z_1, z_2, z_3, w_1, w_2, w_3, w) : k(\sigma_1, \dots, \sigma_6, v)] \geq 6$ . On the other hand, note that  $k(z_1, z_2, z_3, w_1, w_2, w_3, w) = k(z_1, z_2, z_3, w_1, w_2, w_3, v)$  (since  $v$  is linear in  $w$ ) and again, by [Cat, Lemma 2.8],  $[k(z_i, w_i, v) : k(\sigma_1, \dots, \sigma_6, v)] = 6$ . This implies that  $L = k(\sigma_1, \dots, \sigma_6, v)$ .  $\square$

## References

- [B-C04] BAUER, I. and CATANESE, F., *Symmetry and variation of Hodge structures*, Asian J. Math., vol.8, no.2, 363–390, (2004).
- [B-K85] BOGOMOLOV, F. A. and KATSYLO, P. I., *Rationality of some quotient varieties*, Mat. Sb. (N.S.), vol. 126 (168), no. 4, 584–589, (1985).
- [Cat] CATANESE, F., *On the rationality of certain moduli spaces related to curves of genus 4*, Algebraic geometry (Ann Arbor, Mich., 1981), 30–50, Lecture Notes in Math., 1008, Springer, Berlin (1983).